

Plant growth regulators and Axl and immune checkpoint inhibitors from the edible mushroom *Leucopaxillus giganteus*

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1 **Plant Growth Regulators and Axl and Immune Checkpoint Inhibitors**
2 **from the Edible Mushroom *Leucopaxillus giganteus***

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1 **Plant Growth Regulators and Axl and Immune Checkpoint Inhibitors**
2 **from the Edible Mushroom *Leucopaxillus giganteus***

3 A novel compound, (*R*)-4-ethoxy-2-hydroxy-4-oxobutanoic acid (**1**), and six
4 known compounds (**2** to **7**) were isolated from the fruiting bodies of the wild edible
5 mushroom *Leucopaxillus giganteus*. The planar structure of **1** was determined by
6 the interpretation of spectroscopic data analysis. The absolute configuration of **1**
7 was determined by comparing specific rotation of the synthetic compounds. In the
8 plant regulatory assay, the isolated compounds (**1–7**) and the chemically prepared
9 compounds (**8–10**) were evaluated their biological activity against the lettuce
10 (*Lactuca sativa*) growth. Compounds **1** and **3–10** showed the significant regulatory
11 activity of lettuce growth. **1** showed the strongest inhibition activity among the all
12 the compounds tested. In the lung cancer assay, all the compounds were assessed
13 the mRNA expression of Axl and immune checkpoints (PD-L1, PD-L2) in the
14 human A549 alveolar epithelial cell line by RT-PCR. Compounds **1–10** showed
15 significant inhibition activity against Axl and/or immune checkpoint.

16 Keywords: Axl inhibitor; immune checkpoint inhibitor; plant growth regulator;
17 structure determination; *Leucopaxillus giganteus*

18 **Introduction**

19 Higher fungi that form fruiting bodies have been attracting attention, because they
20 produce diverse biomolecules that show various pharmaceutical and biological activities.
21 Hence, a lot of chemical investigations of fruiting bodies as well as mycelia of higher

1 fungi to search for new bioactive compounds have been reported. We have reported the
2 isolation of 5,7-dimethoxy-2,4-dimethylindole, 5-methoxy-2,4-dimethylindole, and 7-
3 acetamidophthalide from the fruiting bodies of *Tricholoma flavovirens* and 10-
4 dehydroxymelleolide D and 13-hydroxymelleolide K from the culture broth of *Armillaria*
5 sp. as plant growth regulators [1,2]. Also, 3'-deoxynisine and cordycepin from *Bombyx*
6 *mori* inoculated with *Cordyceps militaris* as cytotoxic compounds against cancer cells
7 were reported by us [3]. As our continuing search for bioactive compounds from higher
8 fungi, *Leucopaxillus giganteus* was targeted.

9 *L. giganteus* (giant leucopax in English, Ooichotake in Japanese) is a wild edible
10 mushroom that belongs to *Trichlomataceae* family. This mushroom produces clitocine
11 which has been previously proved as a potent anticancer agent by activating caspase-3, -
12 8, -9, knocking-down of Mcl-1, and inhibiting transcription factor NF- κ B [4-6].

13 Lung cancer is the leading cause of cancer morbidity and mortality worldwide.
14 For this reason, molecular targeted drug discovery and drug discovery development
15 against lung cancer are proceeding actively all over the world. Axl, a member of receptor
16 tyrosine kinases (RTKs), has been designated as a strong candidate for targeted therapy
17 of cancer [7]. Similarly, immune-checkpoint (PD-1, PD-L1, and PD-L2) blockade has
18 triggered a clinical reaction of people with lung cancer in latest clinical studies [8]. There
19 is ongoing research to develop possible drugs to target these signaling pathway (Axl and
20 immune checkpoints) and treat cancers. Isolation of other therapy agents of cancer from
21 natural sources is one of the possible contributions for drug discovery. To develop
22 anticancer agents targeting these signaling pathways (Axl and immune checkpoints), we
23 tried to isolated the potential Axl and immune checkpoints inhibitors from the mushroom.

24 Herein we describe the isolation, structural determination, and biological activity
25 of a novel compound (**1**) and six known compounds (**2–7**) from the fruiting bodies of *L.*
26 *giganteus*. In addition, we prepared **1** and its enantiomer (**8**) to determine the absolute
27 configuration of **1** and other two analogs (**9** and **10**) to study the structure activity
28 relationship.

29 **Materials and Methods**

30 *General experimental procedures*

31 ¹H-NMR spectra (one- and two-dimensional) were recorded on Jeol Lambda-500

1 spectrometer at 500 MHz, ¹³C-NMR spectra were recorded at 125 MHz (Jeol Ltd., Tokyo,
2 Japan). Chemical shifts for ¹H NMR and ¹³C NMR were reported in δ relative to 7.26 and
3 77.0 for CDCl₃, 3.30 and 49.8 for CD₃OD, respectively. HRESIMS data were measured
4 by a JMS-T100LC mass spectrometer (Jeol Ltd., Tokyo, Japan). IR spectra were recorded
5 on a FTIR-4100 (JASCO Co., Tokyo, Japan). The specific rotation values were measured
6 with a Jasco DIP-1000 polarimeter (Jasco Co., Tokyo, Japan). HPLC separation was
7 performed with a JASCO Gulliver system (Jasco Co., Tokyo, Japan) using two reverse-
8 phase HPLC columns (Cosmosil PBr, Nacalai Tesque, Kyoto, Japan; Phenylhexyl,
9 InertSustain, Tokyo Japan) and three normal phase HPLC columns (YMC-pack Diol-60-
10 NP, YMC Co., Ltd., Kyoto, Japan; Cosmosil 5 SL-II, Nacalai Tesque, Kyoto, Japan;
11 Inertsil Diol, GL Science Inc., Tokyo, Japan). Silica cartridges and C18 cartridges (Nihon
12 Waters K.K., Tokyo, Japan) were used in the pre-processing of samples. Silica gel plate,
13 ODS gel plate (TLC Silica gel 60 F₂₅₄, Merck KGaA, Darmstadt, Germany), and silica
14 gel 60N (Kanto Chemical Co., Inc., Tokyo, Japan) were used for analytical TLC and for
15 flash column chromatography, respectively.

16 ***Fungal material***

17 Fresh fruiting bodies of *L. giganteus* were collected from Narusawa village, Yamanashi
18 Prefecture in Japan.

19 ***Extraction and isolation***

20 The fresh fruiting bodies were extracted and fractionated twice. In the first extraction,
21 20.6 kg of the fresh fruiting bodies were extracted with EtOH (30 L, twice) and then
22 acetone (20 L, twice). After the solutions were combined and concentrated under reduced
23 pressure, the concentrate was partitioned between *n*-hexane and H₂O, EtOAc and H₂O,
24 and then *n*-BuOH and H₂O. The EtOAc soluble part (14.8 g) was subjected to silica gel
25 flash column chromatography (CH₂Cl₂; CH₂Cl₂/acetone = 90/10, 70/30, 50/50;
26 CH₂Cl₂/MeOH = 80/20, 60/40; MeOH; 1.0 L each, 75 × 500 mm, 800 g) to obtain 15
27 fractions (fractions 1 to 15). Fraction 7 (146.3 mg) was further purified by reverse phase
28 HPLC (Cosmosil PBr, 20 × 250 mm, UV 210 nm, 5 mL/min, MeOH/H₂O = 40/60) to
29 give compound **2** (7.3 mg). Fractions 8 (82.6 mg) and 9 (57.4 mg) were separated by
30 normal phase HPLC (Cosmosil 5 SL-II, 20 × 250 mm, UV 250 nm, 5 mL/min,

1 CHCl₃/MeOH = 97/3) to give 24 fractions (fractions 8-1 to 8-24 and fractions 9-1 to 9-
2 24, respectively). Fractions 8-16 and 9-17 were also identified as compound **2** (18.5 mg
3 and 6.1 mg, respectively). Fractions 8-13 (4.4 mg) and 9-14 (1.0 mg) were combined and
4 then fractionated by reverse phase HPLC (Inertsustain Phenylhexyl, 20×250 mm, UV
5 210 nm, 5 mL/min, MeOH/H₂O = 40/60) to obtain compound **3** (2.3 mg). Fraction 15
6 (135.7 mg) was fractionated by normal phase HPLC (YMC-pack Diol-60-NP, 20×250
7 mm, UV 260 nm, 5 mL/min, CHCl₃/MeOH = 90/10) to give compound **1** (3.0 mg). In the
8 second extraction, 7.9 kg of the fruiting bodies were crushed and extracted in the same
9 method and the EtOAc soluble part (7.4 g) was also fractionated to give 12 fractions
10 (fractions 1' to 12'). Fraction 3' (95.9 mg) was separated by normal phase HPLC
11 (Cosmosil 5 SL-II, 20×250 mm, UV 250 nm, 5 mL/min, CHCl₃/MeOH = 95/5) to afford
12 8 fractions (fractions 3'-1 to 3'-8). Fraction 3'-3 (60.2 mg) was also purified by normal
13 phase HPLC (Cosmosil 5 SL-II, 20×250 mm, UV 260 nm, 5 mL/min, *n*-hexane/EtOAc
14 = 40/60) to obtain compound **4** (5.8 mg). Fraction 9' (418.0 mg) was separated by silica
15 gel flash column chromatography (CH₂Cl₂/MeOH = 90/10, 80/20, 70/30, 60/40, 50/50,
16 40/60, 30/70; MeOH; 200 mL each, 35×500 mm, 400 g) to obtain 13 fractions (fractions
17 9'-1 to 9'-13). Fraction 9'-7 (42.9 mg) was further fractionated by reverse phase HPLC
18 (Cosmosil PBr, 20×250 mm, UV 250 nm, 5 mL/min, MeOH/H₂O = 30/70) to obtain
19 compound **5** (18.5 mg). Fraction 4' (476.2 mg) was separated by silica gel flash column
20 chromatography (*n*-hexane; *n*-hexane/acetone = 90/10, 80/20, 70/30, 50/50, 30/70, 20/80;
21 acetone; MeOH; 200 mL each, 35×500 mm, 400 g) to obtain 18 fractions (fractions 4'-
22 1 to 4'-18). Fraction 4'-11 (38.1 mg) was further fractionated by normal phase HPLC
23 (Inertsil Diol, 20×250 mm, UV 240 nm, 5 mL/min, CHCl₃/MeOH = 95/5) to give
24 compounds **6** (6.0 mg) and **7** (8.8 mg).

25

26 ***Structural elucidation***

27 Seven compounds (**1** to **7**) were isolated from the fresh fruiting bodies of *L. giganteus*.
28 The structure of each compound was confirmed by the interpretation spectroscopic data,
29 NMR and HRESIMS.

30 Compound **1**: pale yellow oil; HRESIMS *m/z* 185.0244 [M+Na]⁺ (calcd for
31 C₆H₁₀O₅Na, 185.0239); [α]_D²⁶ +13 (*c* 0.25, MeOH); ¹H NMR (in CD₃OD): δ 1.27 (3H, t,
32 *J* = 7.2 Hz, H-2'), 2.65 (1H, dd, *J* = 16.2, 7.3 Hz, H-3), 2.75 (1H, dd, *J* = 16.2, 4.9 Hz, H-

1 3), 4.19 (2H, m, H-1'), 4.46 (1H, t, $J = 6.0$ Hz, H-2); ^{13}C NMR (in CD_3OD): δ 14.4, 39.9,
2 62.3, 68.7, 173.9, 174.7.

3 Compound 2: pale yellow oil; ESIMS m/z 125 $[\text{M} + \text{Na}]^+$; $[\alpha]_{\text{D}}^{26} -23.4$ (c 1.54,
4 MeOH), lit. $[\alpha]_{\text{D}}^{25} -75.7$ (c 0.86, MeOH) [9]; ^1H NMR (in CDCl_3): δ 2.45 (1H, d, $J = 18.0$
5 Hz), 2.70 (1H, dd, $J = 18.0, 6.1$ Hz), 4.25 (1H, d, $J = 10.4$ Hz), 4.37 (1H, dd, $J = 10.4, 4.3$
6 Hz), 4.61 (1H, dd, $J = 6.1, 4.3$ Hz); ^{13}C NMR (in CDCl_3): δ 37.7, 67.3, 76.3, 177.1.

7 Compound 3: colorless oil; ESIMS m/z 139 $[\text{M} + \text{Na}]^+$; $[\alpha]_{\text{D}}^{29} +175$ (c 0.07, in
8 CHCl_3), lit. $[\alpha]_{\text{D}}^{29} +45.0$ (c 0.80, in CHCl_3) [10]; ^1H NMR (in CDCl_3): δ 2.13 (1H, m),
9 2.25 (1H, m), 2.53 (1H, m), 2.61 (1H, m), 3.65 (1H, dd, $J = 12.5, 4.9$ Hz), 3.89 (1H, dd,
10 $J = 12.5, 2.7$ Hz), 4.61 (1H, m); ^{13}C NMR (in CDCl_3): δ 23.2, 28.6, 64.3, 80.8, 177.2.

11 Compound 4: colorless oil; ESIMS m/z 183 $[\text{M} + \text{Na}]^+$; ^1H NMR (in CD_3OD):
12 δ 1.91 (2H, m), 2.01 (3H, s), 2.52 (2H, t, $J = 7.2$ Hz), 4.06 (2H, t, $J = 6.4$ Hz), 4.19 (2H,
13 s); ^{13}C NMR (in CD_3OD): δ 20.7, 23.6, 35.4, 64.9, 68.7, 172.9, 211.6.

14 Compound 5: white solid; ESIMS m/z 123 $[\text{M}]^+$; ^1H NMR (in CD_3OD): δ 7.53
15 (1H, dd, $J = 7.9, 4.9$ Hz), 8.27 (1H, m), 8.68 (1H, dd, $J = 4.9, 1.5$ Hz), 9.01 (1H, d, $J =$
16 2.1 Hz); ^{13}C NMR (in CD_3OD): δ 125.1, 131.5, 137.3, 149.5, 152.8, 169.8.

17 Compound 6: pale yellow oil; ESIMS m/z 172 $[\text{M} + \text{H}]^+$; m/z 194 $[\text{M} + \text{Na}]^+$; ^1H
18 NMR (in CDCl_3): δ 1.24 (3H, t, $J = 3.1$ Hz), 2.07 (2H, m), 2.40 (2H, t, $J = 8.2$ Hz), 3.46
19 (2H, t, $J = 7.2$ Hz), 4.10 (2H, dd, $J = 14.3, 7.3$ Hz), 4.17 (2H, dd, $J = 14.3, 7.0$ Hz); ^{13}C
20 NMR (in CDCl_3): δ 14.2, 17.9, 30.3, 44.1, 47.7, 61.3, 168.7, 175.6.

21 Compound 7: pale yellow oil; ESIMS m/z 169 $[\text{M} + \text{Na}]^+$; ^1H NMR (in CDCl_3):
22 δ 1.30 (3H, t, $J = 13.0$ Hz), 2.60 (2H, m), 2.70 (2H, m), 4.14 (2H, m); ^{13}C NMR (in
23 CDCl_3): δ 14.1, 28.8, 28.9, 63.3, 172.1, 177.6.

24 ***Esterification of malic acid***

25 (*R*) or (*S*)-Malic acid (0.9 g or 2 g) and EtOH (10.1 mL) were reacted in the presence of
26 1M HCl (679 μL) for 15 min at room temperature [11]. The reaction mixture (1.1 g or 2.0
27 g) was subjected to silica gel flash column chromatography followed by Sephadex LH-
28 20 gel (GE Healthcare, Uppsala, Sweden; $\text{CHCl}_3/\text{MeOH} = 1/1, 35 \times 500$ mm, 100 g). As
29 a result, two stereoisomers of monoethyl esters **1** (18.6 mg, 1.8 % yield) and **8** (10.2 mg,
30 0.4 % yield) along with diethyl esters **9** (12.0 mg, 1.0 % yield) and **10** (98.9 mg, 3.5%
31 yield) were isolated.

1 (R)-4-ethoxy-2-hydroxy-4-oxobutanoic acid (synthetic **1**). C₆H₁₀O₅, ESIMS *m/z*
2 185 [M+Na]⁺; [α]_D²⁸ +12 (*c* 0.25, MeOH); ¹H NMR (in CD₃OD): δ 1.27 (3H, t, *J* = 7.5
3 Hz, H-2'), 2.65 (1H, dd, *J* = 16.0, 7.5 Hz, H-3), 2.75 (1H, dd, *J* = 16.0, 4.5 Hz, H-3), 4.19
4 (2H, m, H-1'), 4.46 (1H, t, *J* = 6.0 Hz, H-2).

5 (S)-4-ethoxy-2-hydroxy-4-oxobutanoic acid (**8**). C₆H₁₀O₅, ESIMS *m/z* 185
6 [M+Na]⁺; [α]_D²⁶ -15 (*c* 0.24, MeOH); ¹H NMR (500 MHz, in CD₃OD): δ 1.27 (3H, t, *J* =
7 7.3 Hz, H-2'), 2.65 (1H, dd, *J* = 16.0, 7.3 Hz, H-3), 2.75 (1H, dd, *J* = 16.0, 4.5 Hz, H-3),
8 4.19 (2H, m, H-1'), 4.46 (1H, t, *J* = 6.0 Hz, H-2).

9 ethyl (R)-4-ethoxy-3-hydroxypent-4-enoate (**9**). C₈H₁₄O₅, ESIMS *m/z* 213
10 [M+Na]⁺; [α]_D²⁷ +5.0 (*c* 1.08, MeOH); ¹H NMR (in CD₃OD): δ 1.24 (3H, t, *J* = 6.0 Hz),
11 1.27 (3H, t, *J* = 6.3 Hz), 2.69 (1H, dd, *J* = 16.0, 7.0 Hz), 2.77 (1H, dd, *J* = 15.5, 5.0 Hz),
12 4.14 (2H, m), 4.19 (2H, m), 4.47 (1H, t, *J* = 6.0 Hz).

13 ethyl (S)-4-ethoxy-3-hydroxypent-4-enoate (**10**). C₈H₁₄O₅, ESIMS *m/z* 213
14 [M+Na]⁺; [α]_D²⁵ -5.2 (*c* 1.00, MeOH); ¹H NMR (in CD₃OD): δ 1.24 (3H, t, *J* = 6.3 Hz),
15 1.27 (3H, t, *J* = 6.3 Hz), 2.69 (1H, dd, *J* = 15.5, 7.0 Hz), 2.77 (1H, dd, *J* = 15.5, 5.0 Hz),
16 4.14 (2H, m), 4.19 (2H, m), 4.47 (1H, t, *J* = 6.0 Hz).

17 **Biological activity assay**

18 *Plant growth regulating assay*

19 Lettuce seeds (*Lactuca sativa* L. cv. Cisko; Takii Co., Ltd., Tokyo, Japan) were used in
20 this bioassay. Suitable amount of lettuce seeds were put on filter paper (Advantec No. 2,
21 φ 55 mm; Toyo Roshi Kaisha, Ltd., Japan), soaked in distilled water in a Petri dish (φ
22 60×20 mm), and incubated in a dark growth chamber at 20°C for 24 h. Compounds **1–10**
23 and 2,4-dichlorophenoxyacetic acid (2,4-D, positive control) were dissolved in 1 mL of
24 MeOH (1, 10, 10² and 10³ nmol/mL) and allowed permeating on filter paper (φ 55 mm)
25 in a Petri dish (φ 60×20 mm). After the sample-loaded paper was dried, 1 mL of distilled
26 water was poured on the paper or intact filter paper (control). The pre-incubated lettuces
27 (*n* = 9 in each Petri dish) were transferred onto the filter paper and incubated in a dark
28 growth chamber at 20°C for 3 d. The length of the root and the hypocotyl were measured
29 using a digimatic caliper (Mitutoyo Coporation CD-15AXR, Japan). Data collected were
30 analyzed statistically using Student's *t*-test to determine significant difference with *P*
31 values was considered significant.

1 *Axl and immune checkpoint assay*

2 The human A549 alveolar epithelial cell line was purchased from the American Type
3 Culture Collection (Rockville, MD, USA) and cultured in Dulbecco's Modified Eagle's
4 Medium (DMEM), supplemented with 10% heat-inactivated fetal bovine serum, 2 mM
5 L-glutamine and 100 U/mL penicillin plus 100 U/mL streptomycin. All cells were
6 cultured at 37°C in 75 cm² flasks in an atmosphere composed of 5% CO₂ and 95% air.
7 Confluent cells were passaged after 5–7 d. A549 cells in 0.1% Bovine Serum Albumin
8 (BSA) and DMEM were seeded in 24-well plates. Each of compounds 1–10 (20 µg/mL)
9 was added to the wells, and the plates were incubated for 24 h. Total RNA was extracted
10 using Sepasol[®]-RNA I Super G (Nacalai) following the instructions of the manufacturer.
11 One µg of total RNA was denatured at 65°C for 10 min, and then reverse-transcribed
12 using ReverTra Ace Reverse Transcriptase (TOYOBO) and oligo (dT) primer in a volume
13 of 20 µL according to the manufacturer's protocol. Each gene contains forward and
14 reverse sequence (5' > 3') as GGAGCGAGATCCCTCCAAAAT and
15 GGCTGTTGTCATACTTCTCATGG for GADPH gene,
16 TGCCATTGAGAGTCTAGCTGAC and TTAGCTCCCAGCACCGCGAC for Axl gene,
17 GGACAAGCAGTGACCATCAAG and CCCAGAATTACCAAGTGAGTCCT for PD-
18 L1 gene, ACCGTGAAAGAGCCACTTTG and GCGACCCCATAGATGATTATGC for
19 PD-L2 gene, respectively. The cDNA was amplified by PCR and the conditions were as
20 follows: 94°C, 1 min; 60°C, 1 min and 72°C, 1 min for 28–35 cycles. PCR products were
21 electrophoresed on a 1.5 % agarose gel and then stained with ethidium bromide solution.
22 Semi-quantitative RT-PCR results were quantified by using ImageJ software. The
23 statistical difference was calculated by analysis of variance with *post hoc* analysis using
24 Fisher's protected least significant difference test.

25 **Results and discussion**

26 *Structural determination*

27 The fresh fruiting bodies of *L. giganteus* were extracted with EtOH and then acetone. The
28 extract was divided into *n*-hexane, EtOAc, and *n*-BuOH soluble parts. The EtOAc soluble
29 part was fractionated by repeated chromatography. As a result, a novel compound (1) and
30 six known compounds (2 to 7) were isolated (Figure 1).

1 Compound **1** was isolated as pale yellow oil. The molecular formula was
2 determined as C₆H₁₀O₅ by HRESIMS (*m/z* 185.0244 [M+Na]⁺; calcd for C₆H₁₀O₅Na,
3 185.0239), indicating two degrees of unsaturation in the molecule. The structure of **1** was
4 elucidated by interpretation of NMR spectra including DEPT, COSY, HMQC, and HMBC
5 (Figure 2). The DEPT experiment indicated the presence of one methyl, two methylenes,
6 one methine, and two tetrasubstituted carbons. The presence of malic acid moiety was
7 constructed by a COSY correlation (H-2/H-3) and the HMBC correlations (H-2/C-1, C-
8 3; H-3/C-2, C-4). The COSY correlation (H-1'/H-2') and the HMBC correlations (H-
9 1'/C-4, 2'; H-2'/C-1') suggested that the carboxylic acid at C-4 was esterified. The
10 absolute configuration of **1** was determined by comparing its specific rotation { [α]_D²⁶
11 +13 (*c* 0.25, MeOH) } with that of synthetic one { [α]_D²⁸ +12 (*c* 0.25, MeOH) } and its
12 enantiomer (**8**) { [α]_D²⁶ -15 (*c* 0.24, MeOH) }. The compound that has the same planar
13 structure to **1** has been reported as one of chemical constituents from the seeds of *Morinda*
14 *citrifolia*, the whole plant of *Lobelia chinensis*, and the dried roots of *Ampelopsis japonica*.
15 However, specific rotation and CD data have not been reported in the literatures, therefore,
16 the absolute configuration of the isolated compound has not been determined yet [12-14].
17 Thus, our finding allowed us to conclude that compound **1** was a novel compound, (*R*-
18 4-ethoxy-2-hydroxy-4-oxobutanoic acid (Figure 1).

19 Compound **6** was identified as ethyl 2-(2-oxopyrrolidin-1-yl)acetate that has been
20 synthesized as a fungicide [15]. However, it was isolated from a natural source for the
21 first time. Compound **3** was identified as (*S*)-5-(hydroxymethyl)-dihydrofuran-2(3*H*)-one,
22 which has been isolated from a plant, *Clematis hirsuta* [10]. Its biological activity and
23 isolation of it from fungi have not been reported yet. Compound **2**, (*S*)-3-hydroxy-4-
24 butanolide, has been isolated from a mushroom, *Climacodon septentrionalis*, and was
25 evaluated for cytotoxicity against human lung cancer cells, but no effects were exhibited
26 [16]. Compound **4** was identified as catathelasmol D, which has been isolated from the
27 fruiting bodies of *Catathelasma imperiale*, and it has inhibitory activities against two
28 isozymes, 11β-hydroxysteroid dehydrogenases (11β -HSD1 and 11β -HSD2) [17].
29 Compound **5** has been isolated from an edible mushroom *Astraeus odoratus*, which has
30 been used to help in the fight against various diseases such as elevated fasting glucose,
31 diabetes, metabolic syndrome, and the treatment of dyslipidemia [18]. Compound **7**,
32 monoethyl succinate, was isolated from a marine fungus, *Cladosporium cladosporioides*,
33 and it was reported that **7** inhibited stem elongation on the grown dwarf peas [19,20].

1 ***Plant growth regulating activity***

2 Plant growth regulating activity of **1–7** was evaluated using lettuce. 2,4-
3 Dichlorophenoxyacetic acid was used as positive control. As shown in Figure 3, **5**
4 promoted the root growth at 1 nmol/paper and **6** showed the promotion effect at 10 and
5 100 nmol/paper against hypocotyl growth. Among **3, 4,** and **7** showing inhibition activity
6 at 1000 nmol/paper, **4** showed the strongest activity. In order to study the structure activity
7 relationship of **1, 9** and **10** were chemically prepared, and the activity of **1**, its enantiomer
8 (**8**), and di-esters (**9, 10**) was evaluated (Figure 1). The inhibition activity of the novel
9 compound **1** was the strongest among all the compounds tested. The antipode of **1** (**8**)
10 showed much less activity than **1**.

11 ***Axl and immune checkpoint assay***

12 The human A549 alveolar epithelial cell lines were treated with each compound from **1**
13 to **10**. As shown in Figure 4, among compounds **2** to **7**, **6** and **7** inhibited expressions of
14 all the three genes, **2** significantly suppressed the expressions of Axl and PD-L2, and **3–**
15 **5** showed suppressing activity against Axl and PD-L1 expressions. Among malic-acid
16 esters (**1, 8–10**), only the isolated compound **1** showed the effects on all the gene
17 expressions. The results indicated that the carboxylic acid moiety played an important
18 role in the suppression of PD-L2 and the natural product **1** was the most promising
19 candidate for cancer therapy. To our knowledge, it was the first time that Axl and immune
20 checkpoint inhibitors were isolated from higher fungi.

21 **Author contribution**

22 H. K. conceived the project and designed the experiments. I. Y. M., J. W., E. H., E. C. G.,
23 M. T., T. Y., and C. N. D. performed the experiments. J. C., H. H., and H. K. contributed
24 to discussions. I. Y. M., J. W., and H. K. wrote the manuscript.

25

26 **Disclosure statements**

27 No potential conflict of interest was reported by authors.

28

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Figure legends

Figure 1. Structures of compounds 1–10

Figure 2. COSY and HMBC correlations of **1**.

Figure 3. Growth regulating activity against lettuce of compounds **1** to **10** against root (a) or hypocotyl (b). 2,4-Dichlorophenoxyacetic acid (2,4-D) was used as positive control. Results are the mean \pm standard deviation ($n = 9$). [$*p < 0.05$, $**p < 0.01$ (growth inhibition); $+p < 0.05$, $++p < 0.01$ (growth promotion)].

Figure 4. Effect of **1** to **10** on expressions of Axl and immune checkpoints (PD-L1 and PD-L2) on lung cancer cell line A549 cells. Values indicate means with standard deviation from three independent triplicate experiments. Statistical analysis was performed using Fisher's test ($*p < 0.05$, $**p < 0.01$ vs control, $n = 3$).

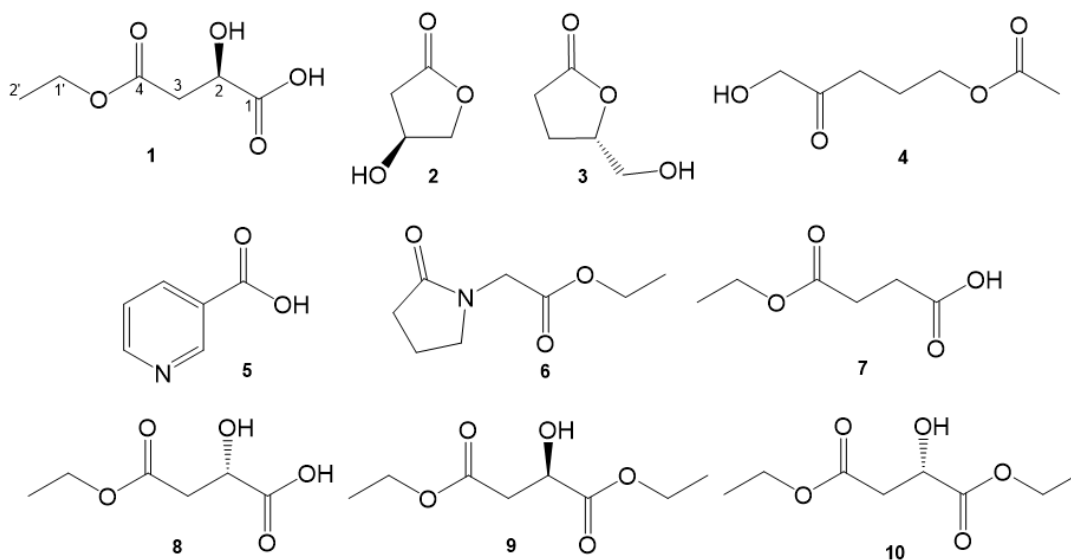


Fig. 1 Malya et al

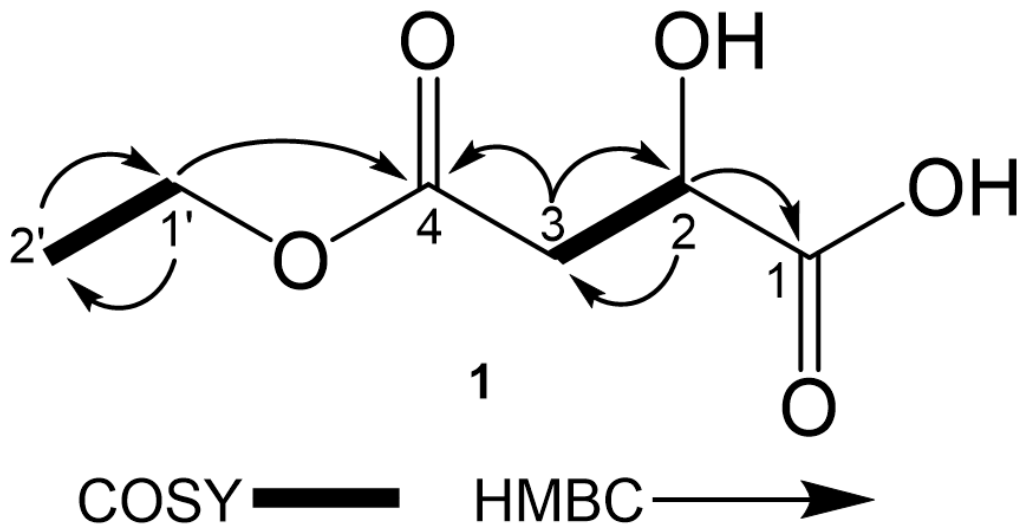


Fig. 2 Malya et al

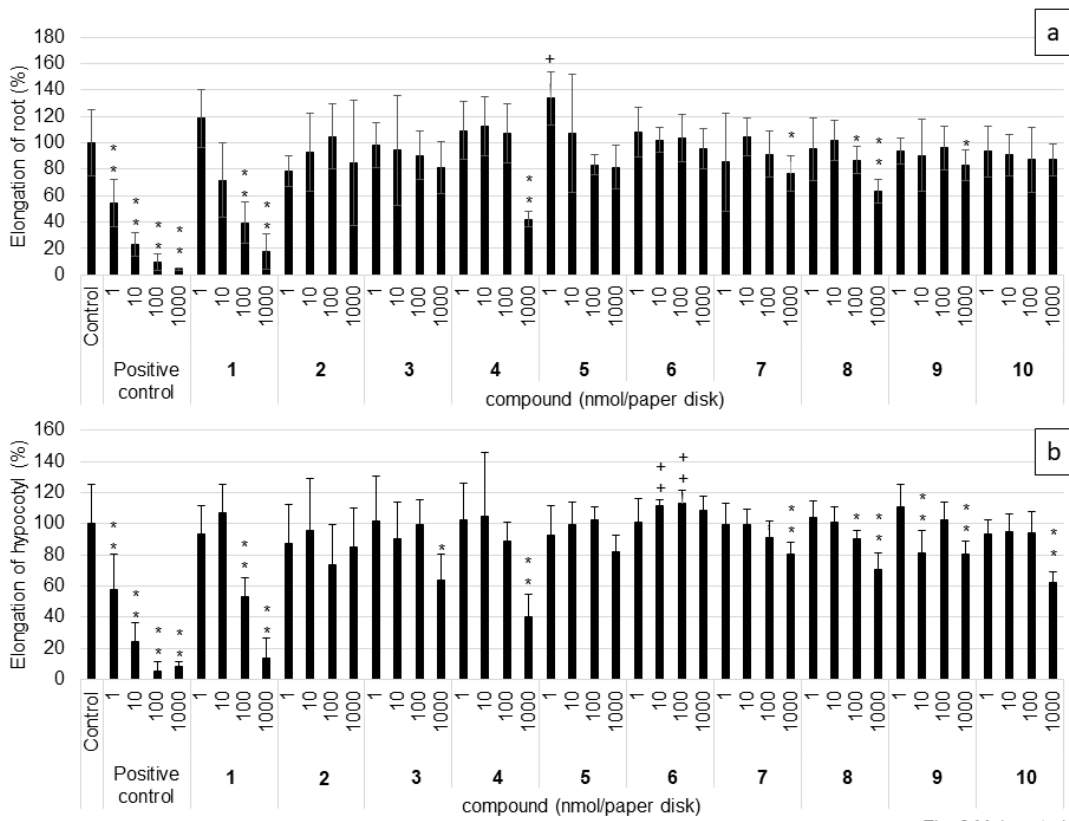


Fig. 3 Malya et al

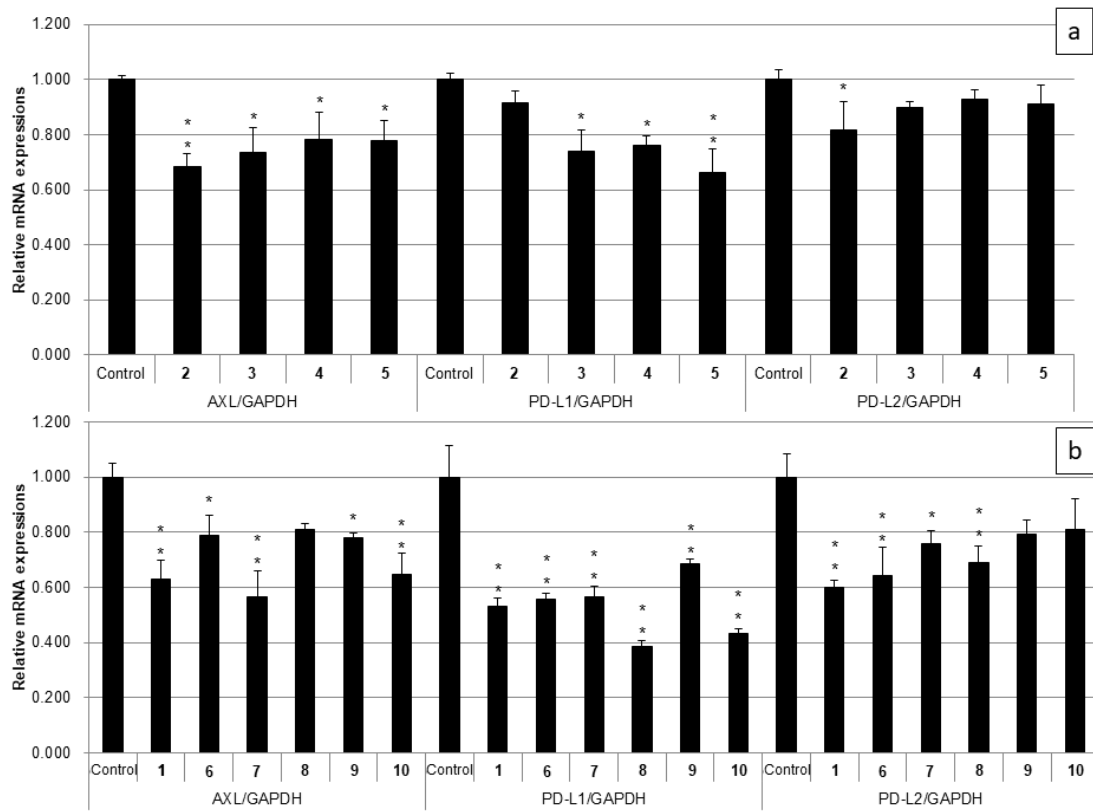


Fig. 4 Malya et al