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4 **Development of a shear band cleavage as a result of strain partitioning**

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12 **Abstract**

13 Microstructural analyses of shear band cleavages in a centimeter-scale shear
14 zone within a metasomatic biotite band in the Teshima granite, Ryoke metamorphic
15 belt, southwest Japan, show that strain partitioning occurred between quartz and
16 biotite-feldspar domains within the shear zone. Pre-tectonic hydrothermal alteration
17 within the granite caused biotite replacement of both plagioclase and K-feldspar,
18 resulting in the development of biotite-feldspar domains where K-feldspar mantles
19 dominantly biotite-plagioclase aggregates. Subsequently, the altered granite was
20 plastically deformed in simple shear, so that intra-layer shear band cleavages were
21 passively developed within the biotite-feldspar domains, whereas intense dynamic
22 recrystallization occurred in the quartz domains. The rotation and orientation of the
23 intra-layer shear band cleavages can be explained by a finite strain ellipse model, which
24 shows that strain in the biotite-feldspar domain requires only 10 to 20 % of the bulk
25 simple shear strain for the development of such cleavages, so that most of strain could
26 be accommodated by deformation in the quartz domain. Consequently, the model
27 suggests that the development of the shear zone resulted in strain partitioning between
28 the quartz and the biotite-feldspar domains due to compositional variations via
29 hydrothermal alteration within the granite.

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31 Key words: Shear band cleavage, shear zone, strain partitioning, alteration, granite,
32 strain analysis, Ryoke metamorphic belt

33 **1. Introduction**

34

35 Within deformed rocks, a mica-preferred orientation or compositional layering
36 may be transected at a small angle by sets of subparallel minor shear zones, known as
37 shear band cleavages (Passchier and Trouw, 2005). Shear band cleavages are commonly
38 slightly oblique to the direction of shear, and have been variously referred to as
39 C'-surfaces (e.g., Berthé et al., 1979; Blenkinsop and Treloar, 1995; Pray et al., 1997),
40 shear bands (White et al., 1980; Gapais and White, 1982), extensional crenulation
41 cleavage (Platt and Vissers, 1980) and normal slip crenulation (Dennis and Secor,
42 1987). Shear band cleavages are extensively used as shear sense indicators in shear
43 zones (e.g., Berthé et al., 1979; Lister and Snoke, 1984), although their development is
44 not fully understood (Passchier and Trouw, 2005). This is because it is difficult to obtain
45 reliable data from natural shear zones on factors such as deformation history, initial
46 orientation of shear bands, bulk and local finite strain, and bulk and local volume
47 change (Passchier, 1991).

48 In this paper, we conducted a simple geometric analysis of shear band
49 cleavages along a strain gradient from the margin to the centre of a centimeter-scale
50 shear zone. As a result, we demonstrate that a finite strain ellipse model proposed by
51 Platt (1984) could explain the development of shear band cleavages in this small shear
52 zone.

53

54 **2. Regional geology and sample description**

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56 The sample analysed in this study was collected from Teshima island in the
57 Shiwaku Island Group, Japan, within the Ryoke HT/LP metamorphic belt (Fig. 1; e.g.,
58 Hara et al., 1973; Arita, 1988). Gneissic coarse-grained hornblende-biotite granite
59 occurs in the south of the island, and weakly gneissic medium-grained biotite granite
60 and hornfels occur in the north of the island (Fig. 1; Arita, 1988).

61 The introduction of iron-bearing fluid phases resulted in hydrofracturing in the
62 northwestern part of the biotite granite and the formation of thin metasomatic biotite
63 bands (thickness: 5-10 cm), some of which contain quartz veins in the middle of the

64 bands (thickness: 1-4cm); the biotite bands are subvertical and strike approximately
65 20°(Michibayashi et al., 1999). The bands locally occur as anastomosing networks. The
66 bands resulted from biotite replacement of mainly plagioclase and K-feldspar grains
67 with the addition of iron-bearing fluids (Fig. 2). The mineral replacements weakened
68 this part of the granite, and small-scale sinistral shear zones developed within those
69 parts of the granite that contain quartz veins and biotite bands (Michibayashi et al.,
70 1999).

71 The analysed sample was taken from a metasomatic biotite band, and contains
72 one side of a shear zone, where a shear plane of the shear zone centre is subparallel to
73 the strike and dip of the biotite band (Fig. 2). The protolith is a medium-grained biotite
74 granite, which consists of quartz, plagioclase, K-feldspar and biotite, with minor zircon
75 and muscovite. EPMA chemical analysis revealed that plagioclase grains are An_{5-16} ,
76 K-feldspar grains are Or_{92-96} , and biotite grains are iron-rich, with
77 $Mg/(Fe+Mg)=0.05-0.07$ (Togami et al., 2000).

78 In the sampled rock, biotite layers define a foliation within the biotite band,
79 which shows some degree of obliquity with respect to the centre of the shear zone (Fig.
80 2). This obliquity provides a continuous gradient from high angle at relatively unstrained
81 to low angle at highly deformed over a distance of several centimeters. Here, we define
82 a distance (d) normal to the shear plane of the shear zone centre ($d=0$).

83

84 **3. Microstructures**

85

86 Element mapping by X ray fluorescence studied by Michibayashi et al. (1999)
87 showed that quartz modal composition increases toward the centre of the shear zone.
88 Michibayashi et al. (1999) defined the three domains according to a zonation in the
89 metasomatised band: the quartz domain, biotite domain and K-feldspar domain. In this
90 paper, although we study the microstructures that occur mostly in the quartz domain
91 and partly in the biotite domain of Michibayashi et al. (1999), it is rather convenient to
92 re-divide microstructures within these two domains into two dominant domains: quartz
93 domains and biotite-feldspar domains as follows.

94

95 *3.1. Quartz domains*

96

97 The quartz domains contain quartz grains with feldspar inclusions and become
98 more dominant toward the centre of the shear zone. Modal composition analysis showed
99 that modal composition of the quartz domain increases from the biotite band to the
100 centre of the shear zone by up to 60 % (Michibayashi et al., 1999).

101 Figure 3A shows quartz grains within the relatively undeformed granite at $d=15$
102 cm. Grains have weak serrated boundaries with stable triple points, indicating minimal
103 deformation. At the margin of the shear zone ($d=4\text{cm}$), quartz grains have developed a
104 slightly elongate shape without formation of a foliation (Fig. 3B), indicating that bulk
105 strain is low. At $d=2.5$ cm, quartz grains are weakly elongate subparallel to S-foliation
106 (Fig. 3C) and in part recrystallized, with intensely serrated grain boundaries and strong
107 undulose extinction. At $d=1.5$ cm, deformation in quartz is further intensified (Fig. 3D).
108 Although igneous quartz grains are still visible, intracrystalline deformation has resulted
109 in strong undulose extinctions and serrated grain boundaries. At $d=1$ cm, quartz grains
110 are intensely elongated parallel to S-foliation, and dynamic recrystallization has resulted
111 in a reduction in grain size (Fig. 3E). The intensity of dynamic recrystallization increases
112 toward the shear zone centre (Fig. 3F). The grain size of quartz is reduced from ca. 0.5
113 mm in the undeformed granite (Fig. 3A) to ca. 50 μm within the shear zone (Fig. 3F).
114 Fine K-feldspar inclusions within the quartz domains are also elongated parallel to
115 S-foliation.

116 Quartz crystal-preferred orientations (CPOs) were measured from highly
117 polished thin section using a JEOL 6300 SEM equipped with electron back-scattered
118 diffraction (EBSD) at Shizuoka University, Japan. Quartz CPOs show triclinic
119 symmetries with the girdle of c-axes subparallel to the Y-axis toward to the centre of
120 the shear zone, although quartz CPOs at $d=2.5\text{-}3.5\text{cm}$ have a triclinic symmetry slightly
121 oblique to XZ plane (Fig. 4). These patterns show that prism $\langle a \rangle$ slip was dominant in
122 this shear zone (e.g., Passchier and Trouw, 2005).

123

124 *3.2. Biotite-feldspar domains*

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126 The biotite-feldspar domains consist mainly of secondary fine-grained biotite
127 and plagioclase aggregates (Fig. 5). Michibayashi et al. (1999) showed that primary
128 plagioclase grains occur as a matrix to the fine-grained biotite aggregates and that the
129 biotite-feldspar domains are commonly mantled by K-feldspar (Fig. 6; see also fig 3 of
130 Michibayashi et al., 1999). The amount of fine-grained biotite grains in plagioclase tends
131 to increase towards the centre of the shear zone (Michibayashi et al., 1999). The shear
132 band cleavages studied in this paper occur dominantly in the biotite-feldspar domains.

133 In the relatively undeformed granite at $d=15$ cm, biotite occurs as randomly
134 oriented euhedral primary grains (Fig. 5A). Secondary fine-grained biotite aggregates
135 first occur within coarse plagioclase grains at $d=4$ cm (Fig. 5B). Deformation is weak
136 and the secondary biotite grains have no preferred orientation. The biotite-feldspar
137 domains become elongated at $d=2.5$ cm and define an S-foliation at the margin of the
138 shear zone (solid line in Fig. 5C). The angle between the S-foliation and the shear plane
139 is as high as 45° . However, the secondary biotite grains within plagioclase grains are
140 randomly oriented (Fig. 5C and 6A).

141 The characters of the biotite-feldspar domains change gradually toward the
142 shear zone centre. At $d=2$ cm, the domains become elongate parallel to the S-foliation,
143 and the secondary biotite grains are sheared, resulting in the initiation of intra-layer
144 shear band cleavage subparallel to the shear plane (Fig. 5D). The biotite-feldspar at $d=1$
145 cm where the secondary biotite have developed are further elongated parallel to the
146 S-foliation (Fig. 5E and 6B). The secondary biotite grains are intensely deformed, and
147 many shear band cleavages have developed.

148 Within the centre of the shear zone, biotite-feldspar domains are strongly
149 elongated parallel to the S-foliation (Fig. 5F) and intra-layer shear band cleavages are
150 pervasively developed. Although the S-foliation is oriented subparallel to the shear
151 plane, the intra-layer shear band cleavages occur at about 10° to 20° to both S-foliation
152 and the shear plane.

153 The shear band cleavages described above are of the intra-layer type, as they
154 occur only within the biotite-feldspar domains. Inter-layer type shear band cleavages
155 also occur in the vicinity of the shear zone centre (Figs 2B and 7). Displacements along
156 the inter-layer shear band cleavages are relatively large, and cut across the

157 biotite-feldspar domains, the quartz domains (Figs 2B and 7) and the intra-layer shear
158 band cleavages.

159 It is important to note that there is no evidence of overgrowth of any minerals
160 on the shear band cleavages (Figs 5 and 6). This suggests that the development of such
161 planar fabrics occurred after the metasomatic reactions in the biotite band (e.g.,
162 Michibayashi et al., 1999).

163

164 **4. Geometric analysis**

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166 *4.1. Methods*

167

168 We performed a microstructural analysis to investigate variations in the
169 geometry of the shear band cleavages across the shear zone. Four parameters were
170 measured with the aid of an optical microscope: (i) spacing of adjacent shear band
171 cleavages, (ii) the angle between the S-foliation and the shear plane (ϕ), (iii) the angle
172 between the shear band cleavage and the shear plane (ψ), and (iv) the angle between
173 the S-foliation and the shear band cleavage (η ; Fig. 8A) which equals $\phi + \psi$.

174

175 *4.2. Results*

176

177 Results are shown in Fig. 8B-E. Open diamonds indicate the biotite-feldspar
178 domains where a S-foliation occurs without shear band cleavage (e.g., Fig. 5C), and in
179 this case only one parameter (ϕ) has been measured (Fig. 8C). Solid circles represent the
180 data for the intra-layer shear band cleavages, whereas open triangles show those for the
181 inter-layer shear band cleavages.

182 Figure 8B shows that the spacing of the intra-layer shear bands varies from 0.5
183 to 2 mm away from the shear zone centre, whereas it tends to be in a small range
184 between 0.2 and 0.5 mm near the shear zone centre. Figure 8C shows the trend of
185 S-foliation with respect to the shear plane (ϕ) across the shear zone. Several points of
186 biotite-feldspar domains record ϕ angles of $> 45^\circ$. There is no shear band cleavage
187 within such high-angle biotite-feldspar domains (Fig. 8C). Shear band cleavage occurs

188 where the angle of the S-foliation to the shear plane (ϕ) is less than 45° (Fig. 8C).

189 The angle between the intra-layer shear band cleavage and the shear plane (ψ)
 190 shows a gradual change from sub-parallel to the shear plane to negatively oblique
 191 orientation as the distance to the shear zone centre decreases (Fig. 8D). The inter-layer
 192 shear band cleavages appear for a distance $d < 10$ mm (Fig. 8D). Their angles are
 193 narrower than those of the inter-layer cleavages and show no tendency with respect to
 194 the distance from the shear zone centre. The angle between S-foliation and the shear
 195 band cleavages (η) has a scattered distribution (Fig. 8E).

196 In order to examine the relationship between three parameters (ϕ , ψ , η), we
 197 made a variation diagram between ϕ and ψ (Fig. 9), where each line shows a stable
 198 value of the angle (η) as $\psi = \phi - \eta$ that is defined in Fig. 8A. It appears that the angles
 199 between the S-foliation and the shear band cleavages became somehow narrower as ϕ
 200 being smaller. Notice that the inter-layer shear band cleavages tend to occur where the
 201 intra-layer shear band cleavages are at higher angles to the shear plane.

202

203 **5. Interpretation and discussion**

204

205 *5.1. The evolution of planar fabrics with respect to simple shear strain*

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207 From the measured spatial variations in microstructural development described
 208 above, we have sought to model temporal changes by assuming that the intra-layer
 209 shear band cleavages close to the centre of the shear zone preserve more progressively
 210 developed types than those at away from the centre of the shear zone. This small-scale
 211 shear zone occurs within largely undeformed granite. Although this shear zone occurs in
 212 a metasomatic biotite band, we consider that volume change during deformation was
 213 minimal, as there is no evidence of overgrowth or dissolution of minerals on the shear
 214 band cleavages. Hara et al. (1973) examined quartz c-axis orientations in this area and
 215 also concluded that the shear zones formed under conditions of simple shear strain.
 216 Quartz CPOs in Fig. 4 could also result from simple shearing in quartz. Although quartz
 217 CPOs at $d = 2.5\text{--}3.5$ cm are slightly oblique, its triclinic symmetry is still maintained.
 218 Therefore, a simple shear strain model is a suitable first order estimation of the bulk

219 kinematic framework. As a consequence, we interpret the development of the shear
220 band cleavages in terms of bulk simple shear.

221 In general, there are two alternative interpretations of ϕ : either (i) as the
222 direction of the instantaneous stretching axis (i.e. $\tan 2\phi = 2/\gamma$; e.g., Ramsay and
223 Graham, 1970), or (ii) the direction of a material line that orients an initial angle (i.e.
224 $\cot\phi = \cot\phi_0 + \gamma$; e.g., Platt, 1984). In the latter case, estimated simple shear strain
225 varies in dependent on an initial direction of the line (ϕ_0). We estimated the amount of
226 simple shear strain for both cases in Fig. 10, where the initial angle of the material line
227 was assumed to be $\phi_0 = 60^\circ$ after Fig. 8C as an example.

228 Figure 10 shows the evolution of two parameters with respect to progressive
229 simple shear strain. Figure 10A shows that the intra-layer shear band cleavages have
230 been progressively rotated from 10° to -20° as strain increased to $\gamma=2$ (see also Fig. 8D).
231 The direction of the shear band cleavages appears to become relatively stable at an
232 angle oblique to the shear plane regardless of simple shear strain (Fig. 10A). The angle
233 between the S-foliation and the intra-layer shear band cleavage decreases gradually
234 from 50° to 20° as strain reaches $\gamma=9$ (Fig. 10B; cf. Fig. 8E).

235 Figure 11 shows our interpretation of the development of the shear band
236 cleavage based on the microstructural observations and the geometric analyses. An
237 S-foliation developed first (Stage 0). As strain increased, the S-foliation was rotated and
238 stretched, resulting in the formation of intra-layer shear band cleavage (Stage 1). In
239 contrast to the S-foliation that occurred at high angle to the shear plane (i.e. ca. $\phi=45^\circ$;
240 Fig. 8C), the intra-layer shear band cleavage formed subparallel to the shear plane (i.e.
241 ca. $\psi = 0$; Figs. 8D and 10A), where the angle between the S-foliation and the
242 intra-layer cleavage was as high as 50° (Figs. 8E and 10B). With increasing strain, the
243 intra-layer shear band cleavages developed into discrete cleavages separated by
244 microlithons (Stage 2a). As shown in Fig. 9, the angle η between the S-foliation and the
245 shear band cleavage appears to be at around 40° at lower strain, whereas the S-foliation
246 was rotated towards the shear plane. As strain increased further, the S-foliation rotated
247 close to the shear plane, and the angle between the S-foliation and the shear band
248 cleavage became smaller (Stage 2b; Figs. 8E and 10B). Finally, the inter-layer shear

249 band cleavages cut across the intra-layer shear band cleavages that contain mainly Stage
250 2b microstructures (Stage 3).

251

252 *5.2 A finite strain ellipse model for the intra-layer shear band cleavages*

253

254 Numerous models of the origin and evolution of planar fabrics in shear zones
255 have been proposed (e.g., Ramsay, 1967; 1980; Berthé et al., 1979; Platt and Vissers,
256 1980; Platt, 1984; Lister and Snoke, 1984; Bobyarchick, 1986; Dennis and Secor, 1987;
257 1990; Passchier, 1991; Blenkinsop and Treloar, 1995; Pray et al., 1997). The different
258 models predict different relationships between the bulk strain ellipsoid and the foliation
259 (Blenkinsop and Treloar, 1995). For example, several studies describe the formation of
260 S-fabrics parallel to the long axis of the finite strain ellipse in simple shear (e.g.,
261 Ramsay, 1967; 1980; Berthé et al., 1979; Lister and Snoke, 1984; Blenkinsop and
262 Treloar, 1995). However, Platt (1984) suggested that slip could occur parallel to
263 S-fabrics due to strain partitioning in overall simple shear (cf. Dennis and Secor, 1987;
264 1990).

265 With respect to shear band cleavages, Bobyarchick (1986) suggested that the
266 inclined eigenvector may represent the orientation of shear bands in natural shear zones.
267 Pray et al. (1997) showed that if the shear-surface was parallel to such an inclined
268 eigenvector in a convergent shear zone, the S- and C-surfaces developed stable
269 orientations and ceased to rotate. However, Simpson and De Paor (1993) argued that
270 the eigenvector direction is an unstable direction, and once a plane is deflected slightly
271 from this orientation, it will continue to rotate away from the eigenvector. Simpson and
272 De Paor (1993) favored a model in which shear bands propagate along surfaces close to
273 the direction of maximum shear strain rate (see also Platt and Vissers, 1980; Ramsay
274 and Lisle, 2000). In contrast, Blenkinsop and Treloar (1995) noted a geometrical
275 similarity between shear surfaces in 'brittle shear zones' and S-C mylonites, and
276 proposed that shear band cleavages form in the orientation of a Coulomb failure surface
277 at an angle of less than 45° to the maximum principal stress.

278 Our data revealed that the intra-layer shear band cleavages could be
279 progressively rotated with increasing strain (Fig. 10). Therefore, it appears that any

