Plant growth regulators and Axl and immune checkpoint inhibitors from the edible mushroom Leucopaxillus giganteus

SURE 静岡大学学術リポジトリ Shizuoka University REpository

メタデータ	言語: eng
	出版者:
	公開日: 2020-04-15
	キーワード (Ja):
	キーワード (En):
	作成者: Malya, Irine Yunhafita, Wu, Jing, Harada,
	Etsuko, Toda, Masaaki, D'Alessandro-Gabazza, Corina
	N., Yasuma, Taro, Gabazza, Esteban C., Choi, Jae-Hoon,
	Hirai, Hirofumi, Kawagishi, Hirokazu
	メールアドレス:
	所属:
URL	http://hdl.handle.net/10297/00027393

1	Plant Growth Regulators and Axl and Immune Checkpoint Inhibitors
2	from the Edible Mushroom Leucopaxillus giganteus

3	Irine Yunhafita Malya	,ª Jing Wu, ^b E	tsuko Harada,° Ma	isaaki Toda, ^d Corina

- 4 N. D'Alessandro-Gabazza,^d Taro Yasuma,^d Esteban C. Gabazza,^d Jae-Hoon
- 5 Choi,^{b,e} Hirofumi Hirai,^{b,e} and Hirokazu Kawagishi^{a,b,e}*
- ⁶ ^a*Graduate School of Science and Technology, Shizuoka University, Shizuoka, Japan*
- ⁷ ^bResearch Institute of Green Science and Technology, Shizuoka University, Shizuoka,
- 8 Japan
- 9 ^cDepartment of Forest and Environmental Science, Miyazaki University, Miyazaki,
- 10 Japan
- ¹¹ ^dDepartment of Immunology, Mie University Graduate School of Medicine, Mie, Japan
- 12 ^eGraduate School of Integrated Science and Technology, Shizuoka University, Shizuoka,
- 13 Japan
- 14
- ^{*}Corresponding author. Tel: +81 54 238 4885, Fax: +81 54 238 4885, E-mail address:
- 16 kawagishi.hirokazu@shizuoka.ac.jp

Plant Growth Regulators and Axl and Immune Checkpoint Inhibitors from the Edible Mushroom *Leucopaxillus giganteus*

3	A novel compound, (R) -4-ethoxy-2-hydroxy-4-oxobutanoic acid (1), and six
4	known compounds $(2 \text{ to } 7)$ were isolated from the fruiting bodies of the wild edible
5	mushroom Leucopaxillus giganteus. The planar structure of 1 was determined by
6	the interpretation of spectroscopic data analysis. The absolute configuration of 1
7	was determined by comparing specific rotation of the synthetic compounds. In the
8	plant regulatory assay, the isolated compounds (1–7) and the chemically prepared
9	compounds (8-10) were evaluated their biological activity against the lettuce
10	(Lactuca sativa) growth. Compounds 1 and 3–10 showed the significant regulatory
11	activity of lettuce growth. 1 showed the strongest inhibition activity among the all
12	the compounds tested. In the lung cancer assay, all the compounds were assessed
13	the mRNA expression of Axl and immune checkpoints (PD-L1, PD-L2) in the
14	human A549 alveolar epithelial cell line by RT-PCR. Compounds 1-10 showed
15	significant inhibition activity against Axl and/or immune checkpoint.

- 16 Keywords: Axl inhibitor; immune checkpoint inhibitor; plant growth regulator;
- 17 structure determination; *Leucopaxillus giganteus*

18 Introduction

Higher fungi that form fruiting bodies have been attracting attention, because they
produce diverse biomolecules that show various pharmaceutical and biological activities.
Hence, a lot of chemical investigations of fruiting bodies as well as mycelia of higher

fungi to search for new bioactive compounds have been reported. We have reported the 1 $\mathbf{2}$ isolation of 5,7-dimethoxy-2,4-dimethylindole, 5-methoxy-2,4-dimethylindole, and 7acetamidophthalide from the fruiting bodies of Tricholoma flavovirens and 10-3 dehydroxymelleolide D and 13-hydroxymelleolide K from the culture broth of Armilaria 4 sp. as plant growth regulators [1,2]. Also, 3'-deoxynisine and cordycepin from Bombyx $\mathbf{5}$ 6 mori inoculated with Cordyceps militaris as cytotoxic compounds against cancer cells 7 were reported by us [3]. As our continuing search for bioactive compounds from higher fungi, Leucopaxillus giganteus was targeted. 8

L. giganteus (giant leucopax in English, Ooichotake in Japanese) is a wild edible
 mushroom that belongs to *Trichlomataceae* family. This mushroom produces clitocine
 which has been previously proved as a potent anticancer agent by activating caspase-3, 8, -9, knocking-down of Mcl-1, and inhibiting transcription factor NF-κB [4-6].

Lung cancer is the leading cause of cancer morbidity and mortality worldwide. 13For this reason, molecular targeted drug discovery and drug discovery development 1415against lung cancer are proceeding actively all over the world. Axl, a member of receptor tyrosine kinases (RTKs), has been designated as a strong candidate for targeted therapy 16of cancer [7]. Similarly, immune-checkpoint (PD-1, PD-L1, and PD-L2) blockade has 1718 triggered a clinical reaction of people with lung cancer in latest clinical studies [8]. There is ongoing research to develop possible drugs to target these signaling pathway (Axl and 1920immune checkpoints) and treat cancers. Isolation of other therapy agents of cancer from natural sources is one of the possible contributions for drug discovery. To develop 2122anticancer agents targeting these signaling pathways (Axl and immune checkpoints), we 23tried to isolated the potential Axl and immune checkpoints inhibitors from the mushroom.

Herein we describe the isolation, structural determination, and biological activity of a novel compound (1) and six known compounds (2–7) from the fruiting bodies of L. *giganteus*. In addition, we prepared 1 and its enantiomer (8) to determine the absolute configuration of 1 and other two analogs (9 and 10) to study the structure activity relationship.

29 Materials and Methods

30 General experimental procedures

³¹ ¹H-NMR spectra (one- and two-dimensional) were recorded on Jeol Lambda-500

spectrometer at 500 MHz, ¹³C-NMR spectra were recorded at 125 MHz (Jeol Ltd., Tokyo, 1 Japan). Chemical shifts for ¹H NMR and ¹³C NMR were reported in δ relative to 7.26 and $\mathbf{2}$ 77.0 for CDCl₃, 3.30 and 49.8 for CD₃OD, respectively. HRESIMS data were measured 3 by a JMS-T100LC mass spectrometer (Jeol Ltd., Tokyo, Japan). IR spectra were recorded 4 on a FTIR-4100 (JASCO Co., Tokyo, Japan). The specific rotation values were measured $\mathbf{5}$ 6 with a Jasco DIP-1000 polarimeter (Jasco Co., Tokyo, Japan). HPLC separation was performed with a JASCO Gulliver system (Jasco Co., Tokyo, Japan) using two reverse-7 phase HPLC columns (Cosmosil PBr, Nacalai Tesque, Kyoto, Japan; Phenylhexyl, 8 9 InertSustain, Tokyo Japan) and three normal phase HPLC columns (YMC-pack Diol-60-NP, YMC Co., Ltd., Kyoto, Japan; Cosmosil 5 SL-II, Nacalai Tesque, Kyoto, Japan; 10 Inertsil Diol, GL Science Inc., Tokyo, Japan). Silica cartridges and C18 cartridges (Nihon 11 12Waters K.K., Tokyo, Japan) were used in the pro-processing of samples. Silica gel plate, ODS gel plate (TLC Silica gel 60 F254, Merck KGaA, Darmstadt, Germany), and silica 13gel 60N (Kanto Chemical Co., Inc., Tokyo, Japan) were used for analytical TLC and for 1415flash column chromatography, respectively.

16 Fungal material

Fresh fruiting bodies of *L. giganteus* were collected from Narusawa village, Yamanashi
Prefecture in Japan.

19 Extraction and isolation

The fresh fruiting bodies were extracted and fractionated twice. In the first extraction, 202120.6 kg of the fresh fruiting bodies were extracted with EtOH (30 L, twice) and then 22acetone (20 L, twice). After the solutions were combined and concentrated under reduced 23pressure, the concentrate was partitioned between *n*-hexane and H₂O, EtOAc and H₂O, and then *n*-BuOH and H₂O. The EtOAc soluble part (14.8 g) was subjected to silica gel 24flash column chromatography (CH₂Cl₂; CH₂Cl₂/acetone = 90/10, 70/30, 50/50; 25 $CH_2Cl_2/MeOH = 80/20, 60/40; MeOH; 1.0 L each, 75 \times 500 mm, 800 g)$ to obtain 15 26fractions (fractions 1 to 15). Fraction 7 (146.3 mg) was further purified by reverse phase 2728HPLC (Cosmosil PBr, 20×250 mm, UV 210 nm, 5 mL/min, MeOH/H₂O = 40/60) to 29give compound 2 (7.3 mg). Fractions 8 (82.6 mg) and 9 (57.4 mg) were separated by normal phase HPLC (Cosmosil 5 SL-II, 20×250 mm, UV 250 nm, 5 mL/min, 30

 $CHCl_3/MeOH = 97/3$) to give 24 fractions (fractions 8-1 to 8-24 and fractions 9-1 to 9-1 $\mathbf{2}$ 24, respectively). Fractions 8-16 and 9-17 were also identified as compound 2 (18.5 mg and 6.1 mg, respectively). Fractions 8-13 (4.4 mg) and 9-14 (1.0 mg) were combined and 3 then fractionated by reverse phase HPLC (Inertsustain Phenylhexyl, 20×250 mm, UV 4 210 nm, 5 mL/min, MeOH/H₂O = 40/60) to obtain compound 3 (2.3 mg). Fraction 15 $\mathbf{5}$ 6 (135.7 mg) was fractionated by normal phase HPLC (YMC-pack Diol-60-NP, 20×250 mm, UV 260 nm, 5 mL/min, CHCl₃/MeOH = 90/10) to give compound 1 (3.0 mg). In the 7second extraction, 7.9 kg of the fruiting bodies were crushed and extracted in the same 8 method and the EtOAc soluble part (7.4 g) was also fractionated to give 12 fractions 9 (fractions 1' to 12'). Fraction 3' (95.9 mg) was seperated by normal phase HPLC 10 (Cosmosil 5 SL-II, 20×250 mm, UV 250 nm, 5 mL/min, CHCl₃/MeOH = 95/5) to afford 11 128 fractions (fractions 3'-1 to 3'-8). Fraction 3'-3 (60.2 mg) was also purified by normal phase HPLC (Cosmosil 5 SL-II, 20×250 mm, UV 260 nm, 5 mL/min, n-hexane/EtOAc 13= 40/60) to obtain compound 4 (5.8 mg). Fraction 9' (418.0 mg) was separated by silica 14gel flash column chromatography (CH₂Cl₂/MeOH = 90/10, 80/20, 70/30, 60/40, 50/50, 1540/60, 30/70; MeOH; 200 mL each, 35 × 500 mm, 400 g) to obtain 13 fractions (fractions 169'-1 to 9'-13). Fraction 9'-7 (42.9 mg) was further fractionated by reverse phase HPLC 17(Cosmosil PBr, 20×250 mm, UV 250 nm, 5 mL/min, MeOH/H₂O = 30/70) to obtain 18compound 5 (18.5 mg). Fraction 4' (476.2 mg) was separated by silica gel flash column 19chromatography (*n*-hexane; *n*-hexane/acetone = 90/10, 80/20, 70/30, 50/50, 30/70, 20/80; 20acetone; MeOH; 200 mL each, 35×500 mm, 400 g) to obtain 18 fractions (fractions 4'-21221 to 4'-18). Fraction 4'-11 (38.1 mg) was further fractionated by normal phase HPLC (Inertsil Diol, 20×250 mm, UV 240 nm, 5 mL/min, CHCl₃/MeOH = 95/5) to give 2324compounds 6 (6.0 mg) and 7 (8.8 mg).

25

26 Structural elucidation

Seven compounds (1 to 7) were isolated from the fresh fruiting bodies of *L. giganteus*.
The structure of each compound was confirmed by the interpretation spectroscopic data,
NMR and HRESIMS.

30 Compound 1: pale yellow oil; HRESIMS m/z 185.0244 [M+Na]⁺ (calcd for 31 C₆H₁₀O₅Na, 185.0239); $[\alpha]_D^{26}$ +13 (*c* 0.25, MeOH); ¹H NMR (in CD₃OD): δ 1.27 (3H, t, 32 J = 7.2 Hz, H-2'), 2.65 (1H, dd, J = 16.2, 7.3 Hz, H-3), 2.75 (1H, dd, J = 16.2, 4.9 Hz, H-

 $\mathbf{5}$

3), 4.19 (2H, m, H-1'), 4.46 (1H, t, J = 6.0 Hz, H-2); ¹³C NMR (in CD₃OD): δ14.4, 39.9,
 62.3, 68.7, 173.9, 174.7.

3 Compound **2**: pale yellow oil; ESIMS m/z 125 [M + Na]⁺; $[\alpha]_D^{26}$ -23.4 (c 1.54, 4 MeOH), lit. $[\alpha]_D^{25}$ -75.7 (c 0.86, MeOH) [9]; ¹H NMR (in CDCl₃): δ 2.45 (1H, d, J=18.0 5 Hz), 2.70 (1H, dd, J = 18.0, 6.1 Hz), 4.25 (1H, d, J=10.4 Hz), 4.37 (1H, dd, J = 10.4, 4.3 6 Hz), 4.61 (1H, dd, J = 6.1, 4.3 Hz); ¹³C NMR (in CDCl₃): δ 37.7, 67.3, 76.3, 177.1.

7 Compound **3**: colorless oil; ESIMS m/z 139 [M + Na]⁺; $[\alpha]_D^{29}$ +175 (c 0.07, in 8 CHCl₃), lit. $[\alpha]_D^{29}$ +45.0 (c 0.80, in CHCl₃) [10]; ¹H NMR (in CDCl₃): δ 2.13 (1H, m), 9 2.25 (1H, m), 2.53 (1H, m), 2.61 (1H, m), 3.65 (1H, dd, J = 12.5, 4.9 Hz), 3.89 (1H, dd, 10 J = 12.5, 2.7 Hz), 4.61 (1H, m); ¹³C NMR (in CDCl₃): δ 23.2, 28.6, 64.3, 80.8, 177.2.

11 Compound 4: colorless oil; ESIMS m/z 183 [M+Na]⁺; ¹H NMR (in CD₃OD): 12 δ 1.91 (2H, m), 2.01 (3H, s), 2.52 (2H, t, J = 7.2 Hz), 4.06 (2H, t, J = 6.4 Hz), 4.19 (2H, 13 s); ¹³C NMR (in CD₃OD): δ 20.7, 23.6, 35.4, 64.9, 68.7,172.9, 211.6.

14 Compound 5: white solid; ESIMS m/z 123 [M]⁺; ¹H NMR (in CD₃OD): δ 7.53 15 (1H, dd, J = 7.9, 4.9 Hz), 8.27 (1H, m), 8.68 (1H, dd, J = 4.9, 1.5 Hz), 9.01 (1H, d, J = 16 2.1 Hz); ¹³C NMR (in CD₃OD): δ 125.1, 131.5, 137.3, 149.5, 152.8, 169.8.

17 Compound **6**: pale yellow oil; ESIMS m/z 172 [M + H]⁺; m/z 194 [M + Na]⁺; ¹H 18 NMR (in CDCl₃): δ 1.24 (3H, t, J = 3.1 Hz), 2.07 (2H, m), 2.40 (2H, t, J = 8.2 Hz), 3.46 19 (2H, t, J = 7.2 Hz), 4.10 (2H, dd, J = 14.3, 7.3 Hz), 4.17 (2H, dd, J = 14.3, 7.0 Hz); ¹³C 20 NMR (in CDCl₃): δ 14.2, 17.9, 30.3, 44.1, 47.7, 61.3, 168.7, 175.6.

21 Compound 7: pale yellow oil; ESIMS m/z 169 [M+Na]⁺; ¹H NMR (in CDCl₃): 22 δ 1.30 (3H, t, J = 13.0 Hz), 2.60 (2H, m), 2.70 (2H, m), 4.14 (2H, m); ¹³C NMR (in 23 CDCl₃): δ 14.1, 28.8, 28.9, 63.3, 172.1, 177.6.

24 Esterification of malic acid

25 (*R*) or (*S*)-Malic acid (0.9 g or 2 g) and EtOH (10.1 mL) were reacted in the presence of 26 1M HCl (679 μ L) for 15 min at room temperature [11]. The reaction mixture (1.1 g or 2.0 27 g) was subjected to silica gel flash column chromatography followed by Sephadex LH-28 20 gel (GE Healthcare, Uppsala, Sweden; CHCl₃/MeOH = 1/1, 35 × 500 mm, 100 g). As 29 a result, two stereoisomers of monoethyl esters **1** (18.6 mg, 1.8 % yield) and **8** (10.2 mg, 30 0.4 % yield) along with diethyl esters **9** (12.0 mg, 1.0 % yield) and **10** (98.9 mg, 3.5% 31 yield) were isolated.

(R)-4-ethoxy-2-hydroxy-4-oxobutanoic acid (synthetic 1). $C_6H_{10}O_5$, ESIMS m/z1 185 $[M+Na]^+$; $[\alpha]_D^{28} + 12$ (*c* 0.25, MeOH); ¹H NMR (in CD₃OD): δ 1.27 (3H, t, *J* = 7.5 $\mathbf{2}$ Hz, H-2'), 2.65 (1H, dd, J = 16.0, 7.5 Hz, H-3), 2.75 (1H, dd, J = 16.0, 4.5 Hz, H-3), 4.19 3 (2H, m, H-1'), 4.46 (1H, t, J = 6.0 Hz, H-2).4 (S)-4-ethoxy-2-hydroxy-4-oxobutanoic acid (8). $C_6H_{10}O_5$, ESIMS m/z 185 $\mathbf{5}$ $[M+Na]^+$; $[\alpha]_D^{26}$ -15 (c 0.24, MeOH); ¹H NMR (500 MHz, in CD₃OD): δ 1.27 (3H, t, J = 6 $\overline{7}$ 7.3 Hz, H-2'), 2.65 (1H, dd, J = 16.0, 7.3 Hz, H-3), 2.75 (1H, dd, J = 16.0, 4.5 Hz, H-3), 8 4.19 (2H, m, H-1'), 4.46 (1H, t, *J* = 6.0 Hz, H-2). ethyl (R)-4-ethoxy-3-hydroxypent-4-enoate (9). $C_8H_{14}O_5$, ESIMS m/z 213 9 $[M+Na]^+$; $[\alpha]_D^{27}$ +5.0 (*c* 1.08, MeOH); ¹H NMR (in CD₃OD): δ 1.24 (3H, t, *J* = 6.0 Hz), 10 1.27 (3H, t, *J* = 6.3 Hz), 2.69 (1H, dd, *J* = 16.0, 7.0 Hz), 2.77 (1H, dd, *J* = 15.5, 5.0 Hz), 11 124.14 (2H, m), 4.19 (2H, m), 4.47 (1H, t, *J* = 6.0 Hz). ethyl (S)-4-ethoxy-3-hydroxypent-4-enoate (10). C₈H₁₄O₅, ESIMS m/z 213 13 $[M+Na]^+$; $[\alpha]_D^{25}$ -5.2 (*c* 1.00, MeOH); ¹H NMR (in CD₃OD): δ 1.24 (3H, t, *J* = 6.3 Hz), 141.27 (3H, t, J = 6.3 Hz), 2.69 (1H, dd, J = 15.5, 7.0 Hz), 2.77 (1H, dd, J = 15.5, 5.0 Hz), 15

16 4.14 (2H, m), 4.19 (2H, m), 4.47 (1H, t, *J* = 6.0 Hz).

17 Biological activity assay

18 Plant growth regulating assay

19Lettuce seeds (Lactuca sativa L. cv. Cisko; Takii Co., Ltd., Tokyo, Japan) were used in this bioassay. Suitable amount of lettuce seeds were put on filter paper (Advantec No. 2, 20 ϕ 55 mm; Toyo Roshi Kaisha, Ltd., Japan), soaked in distilled water in a Petri dish (ϕ 2160×20 mm), and incubated in a dark growth chamber at 20°C for 24 h. Compounds 1–10 2223and 2,4-dichlorophenoxyacetic acid (2,4-D, positive control) were dissolved in 1 mL of MeOH (1, 10, 10^2 and 10^3 nmol/mL) and allowed permeating on filter paper (ϕ 55 mm) $\mathbf{24}$ 25in a Petri dish (ϕ 60×20 mm). After the sample-loaded paper was dried, 1 mL of distilled water was poured on the paper or intact filter paper (control). The pre-incubated lettuces 2627(n = 9 in each Petri dish) were transferred onto the filter paper and incubated in a dark growth chamber at 20°C for 3 d. The length of the root and the hypocotyl were measured 2829using a digimatic caliper (Mitutoyo Coporation CD-15AXR, Japan). Data collected were analyzed statistically using Student's t-test to determine significant difference with P3031values was considered significant.

1 Axl and immunce checkpoint assay

 $\mathbf{2}$ The human A549 alveolar epithelial cell line was purchased from the American Type 3 Culture Collection (Rockville, MD, USA) and cultured in Dulbecco's Modified Eagle's Medium (DMEM), supplemented with 10% heat-inactivated fetal bovine serum, 2 mM 4 L-glutamine and 100 U/mL penicillin plus 100 U/mL streptomycin. All cells were $\mathbf{5}$ cultured at 37°C in 75 cm² flasks in an atmosphere composed of 5% CO₂ and 95% air. 6 Confluent cells were passaged after 5-7 d. A549 cells in 0.1% Bovine Serum Albumin 7 (BSA) and DMEM were seeded in 24-well plates. Each of compounds 1–10 (20 µg/mL) 8 9 was added to the wells, and the plates were incubated for 24 h. Total RNA was extracted using Sepasol[®]-RNA I Super G (Nacalai) following the instructions of the manufacturer. 10 One µg of total RNA was denatured at 65°C for 10 min, and then reverse-transcribed 11 12using ReverTra Ace Reverse Transcriptase (TOYOBO) and oligo (dT) primer in a volume 13of 20 µL according to the manufacturer's protocol. Each gene contains forward and (5' 3') GGAGCGAGATCCCTCCAAAAT 14reverse sequeance >as and 15GGCTGTTGTCATACTTCTCATGG for GADPH gene, TGCCATTGAGAGTCTAGCTGAC and TTAGCTCCCAGCACCGCGAC for Axl gene, 16GGACAAGCAGTGACCATCAAG and CCCAGAATTACCAAGTGAGTCCT for PD-17L1 gene, ACCGTGAAAGAGCCACTTTG and GCGACCCCATAGATGATTATGC for 18PD-L2 gene, respectively. The cDNA was amplified by PCR and the conditions were as 19 follows: 94°C, 1 min; 60°C, 1 min and 72°C, 1 min for 28–35 cycles. PCR products were 2021electrophoresed on a 1.5 % agarose gel and then stained with ethidium bromide solution. Semi-quantitative RT-PCR results were quantified by using ImageJ software. The 2223statistical difference was calculated by analysis of variance with post hoc analysis using 24Fisher's protected least significant difference test.

25 **Results and discussion**

26 Structural determination

The fresh fruiting bodies of *L. giganteus* were extracted with EtOH and then acetone. The extract was divided into *n*-hexane, EtOAc, and *n*-BuOH soluble parts. The EtOAc soluble part was fractionated by repeated chromatography. As a result, a novel compound (1) and six known compounds (2 to 7) were isolated (Figure 1).

Compound 1 was isolated as pale yellow oil. The molecular formula was 1 $\mathbf{2}$ determined as $C_6H_{10}O_5$ by HRESIMS (m/z 185.0244 [M+Na]⁺; calcd for $C_6H_{10}O_5Na$, 185.0239), indicating two degrees of unsaturation in the molecule. The structure of 1 was 3 elucidated by interpretation of NMR spectra including DEPT, COSY, HMQC, and HMBC 4 (Figure 2). The DEPT experiment indicated the presence of one methyl, two methylenes, $\mathbf{5}$ 6 one methine, and two tetrasubstituted carbons. The presence of malic acid moiety was 7constructed by a COSY correlation (H-2/H-3) and the HMBC correlations (H-2/C-1, C-3; H-3/C-2, C-4). The COSY correlation (H-1'/H-2') and the HMBC correlations (H-8 1'/C-4, 2'; H-2'/C-1') suggested that the carboxylic acid at C-4 was esterified. The 9 absolute configuration of 1 was determined by comparing its specific rotation $\{ [\alpha]_{D}^{26} \}$ 10 +13 (c 0.25, MeOH) } with that of synthetic one { $[\alpha]_D^{28}$ +12 (c 0.25, MeOH) } and its 11 enantiomer (8) { $[\alpha]_D^{26}$ -15 (c 0.24, MeOH) }. The compound that has the same planar 12structure to 1 has been reported as one of chemical constituents from the seeds of Morinda 13citrifolia, the whole plant of Lobelia chinensis, and the dried roots of Ampelopsis japonica. 1415However, specific rotation and CD data have not been reported in the literatures, therefore, the absolute configuration of the isolated compound has not been determined yet [12-14]. 1617Thus, our finding allowed us to conclude that compound 1 was a novel compound, (R)-184-ethoxy-2-hydroxy-4-oxobutanoic acid (Figure 1).

Compound 6 was identified as ethyl 2-(2-oxopyrrolidin-1-yl)acetate that has been 19synthesized as a fungicide [15]. However, it was isolated from a natural source for the 20first time. Compound **3** was identified as (S)-5-(hydroxymethyl)-dihydrofuran-2(3H)-one, 2122which has been isolated from a plant, Clematis hirsuta [10]. Its biological activity and isolation of it from fungi have not been reported yet. Compound 2, (S)-3-hydroxy-4-2324butanolide, has been isolated from a mushroom, Climacodon septentrionalis, and was evaluated for cytotoxicity against human lung cancer cells, but no effects were exhibited 25[16]. Compound 4 was identified as catathelasmol D, which has been isolated from the 26fruiting bodies of Catathelasma imperiale, and it has inhibitory activities against two 2728isozymes, 11β -hydroxysteroid dehydrogenases (11β -HSD1 and 11β -HSD2) [17]. Compound 5 has been isolated from an edible mushroom Astraeus odoratus, which has 2930 been used to help in the fight against various diseases such as elevated fasting glucose, diabetes, metabolic syndrome, and the treatment of dyslipidemia [18]. Compound 7, 31monoethyl succinate, was isolated from a marine fungus, Cladosporium cladosporioides, 3233 and it was reported that 7 inhibited stem elongation on the grown dwarf peas [19,20].

1 **Plant growth regulating activity**

 $\mathbf{2}$ Plant growth regulating activity of 1-7 was evaluated using lettuce. 2,4-3 Dichlorophenoxyacetic acid was used as positive control. As shown in Figure 3, 5 promoted the root growth at 1 nmol/paper and 6 showed the promotion effect at 10 and 4 $\mathbf{5}$ 100 nmol/paper against hypocotyl growth. Among 3, 4, and 7 showing inhibition activity at 1000 nmol/paper, 4 showed the strongest activity. In order to study the structure activity 6 relationship of 1, 9 and 10 were chemically prepared, and the activity of 1, its enantiomer 7 8 (8), and di-esters (9, 10) was evaluated (Figure 1). The inhibition activity of the novel 9 compound 1 was the strongest among all the compounds tested. The antipode of 1 (8) showed much less activity than 1. 10

11 Axl and immunce checkpoint assay

12The human A549 alveolar epithelial cell lines were treated with each compound from 1 to 10. As shown in Figure 4, among compounds 2 to 7, 6 and 7 inhibited expressions of 13all the three genes, 2 significantly suppressed the expressions of Axl and PD-L2, and 3-145 showed suppressing activity against Axl and PD-L1 expressions. Among malic-acid 15esters (1, 8–10), only the isolated compound 1 showed the effects on all the gene 1617expressions. The results indicated that the carboxylic acid moiety played an important 18 role in the suppression of PD-L2 and the natural product 1 was the most promising 19candidate for cancer therapy. To our knowledge, it was the first time that Axl and immune checkpoint inhibitors were isolated from higher fungi. 20

21 Author contribution

- H. K. conceived the project and designed the experiments. I. Y. M., J. W., E. H., E. C. G.,
- 23 M. T., T. Y., and C. N. D. performed the experiments. J. C., H. H., and H. K. contributed
- to discussions. I. Y. M., J. W., and H. K. wrote the manuscript.
- 25

26 **Disclosure statements**

- 27 No potential conflict of interest was reported by authors.
- 28
- 29 Funding

- 1 This work was partially supported by a Grant-in Aid for Scientific Research on
- 2 Innovative Areas "Frontier Research on Chemical Communications" (JP17H06402)
- 3 from MEXT and Specific Research Grant from Takeda Science Foundation.
- 4

```
5 References
```

- 6 [1] Qiu W, Kobori H, Wu J, Choi J, Hirai H, Kawagishi H. Plant growth regulators from
- the fruiting bodies of *Tricholoma flavovirens*. Biosci Biotechnol Biochem. 2017; 81: 441–
- 8 444.
- 9 [2] Kobori H, Sekiya A, Suzuki T, Choi J, Hirai H, Kawagishi H. Bioactive sesquiterpene
- aryl esters from the culture broth of *Armillaria* sp.. J Nat Prod. 2015; 78:163–167.
- 11 [3] Qiu W, Wu J, Choi J, Hirai H, Nishida H, Kawagishi H. Cytotoxic compounds against
- 12 cancer cells from Bombyx mori inoculated with Cordycepts militaris. Biosci Biotechnol
- 13 Biochem. 2017; 81:1224–1226.
- 14 [4] Ren G, Zhao Y, Yang L, Fu CX. Anti-proliferative effect of clitocine from the
- 15 mushroom Leucopaxillus giganteus on human cervical cancer HeLa cells by inducing
- 16 apoptosis. Cancer Lett. 2008; 262:190–200.
- 17 [5] Sun J, Yeung CA, Co NN, Tsang TY, Yau E, Luo K, Wu P, Wa JCY, Fung KP, Kwok
- 18 TT, Liu F. Clitocine reversal of P-Glycoprotein associated multi-drug resistance through
- down-regulation of transcription factor NF-kB in R-HepG2 cell line. Plos One. 2012; 7:
- 20 e40720.
- 21 [6] Sun J, Li H, Li X, Zeng X, Wu P, Fung K, Liu F. Clitocine targets Mcl-1 to induce
- 22 drug-resistant human cancer cell apoptosis in vitro tumor growth inhibiton in vivo.
- 23 Apoptosis. 2014; 19: 871–882.
- 24 [7] Wu F, Li J, Jang C, Wang J, Xiong J. The Role of Axl in Drug resistance and epithelial-
- to-mesenchymal transition of non-small cell lung carcinoma. Int J Clin Exp Pathol. 2014;
 7: 6653–6661.
- 27 [8] Azuma K, Ota K, Kawahara A, Hattori S, Iwama E, Harada T, Matsumoto K.
- 28 Association of PD-L1 overexpression with activating EGFR mutations in surgically
- resected nonsmall-cell lung cancer. Ann Oncol. 2014; 25: 1935–1940.
- 30 [9] Uchikawa O, Okukado N, Sakata T, Arase K, Terada K. Synthesis of (S)- and (R)-3-
- 31 hydroxy-4-butanolide and (2S,4S)-, (2R,4S)-, (2S,4R)-, and (2R,4R)-2-hydroxy-4-

- 1 hydroxymethyl-4-butanolide and their satiety and hunger modulating activities. Bull
- 2 Chem Soc Jpn. 1988; 61: 2025–2029.
- 3 [10] Abdel-Kader M S, Al-Taweel A M, El-Deeb K S. Bioactivity guided phytochemical
- 4 study of *Clematis hirsuta* growing in Saudi Arabia. Nat Prod Sci. 2008; 14: 56–61.
- 5 [11] Cohen SG, Neuwirth Z, Winstein SY. Association of substrates with α -chymotrypsin,
- 6 diethyl α -acetoxysuccinate, and diethyl malate. J Am Chem Soc. 1966; 88: 5306–5314.
- 7 [12] Yang X, Jiang M, Hsieh K, Liu J. Chemical sonstituents from the seeds of Morinda
- 8 *citrifolia*. Chin J Nat Med. 2009; 7: 199–122.
- 9 [13] Yang S, Shen T, Zhao L, Li C, Zhang Y, Lou H, Ren D. Chemical constituents of
- 10 Lobelia chinensis. Fitoterapia. 2014; 93: 168–174.
- 11 [14] Xiong H, Mi J, Le J, Wu Z, Chen W. Chemical Constituents of *Ampelopsis japonica*.
- 12 Chem Nat Comp. 2017; 53: 791–793.
- 13 [15] Chang Y, Zhi C, Wang X. Synthesis of ethyl (2-oxo-1-pyrrolidinyl)acetate. J. TYUT.
- 14 2005; 36: 186–189.
- 15 [16] Wu J, Tsujimori M, Hirai H, Kawagishi H. Novel Compounds from the mycelia and
- 16 fruiting bodies of *Climacodon septentrionalis*. Biosci Biotech Biochem. 2011; 15: 783–
 17 785.
- [17] Zhang L, Shen Y, Zhu H, Wang F, Leng Y, Liu J. Pentanol derivatives from
 basidiomycete *Catathelasma imperial* and their 11β-Hydroxysteroid dehydrogenases
 inhibitory activity. J Antibiot. 2009; 62: 239–242.
- 21 [18] Arpha K, Phosri C, Suwannasai N, Mongkolthanaruk W, Sodngam S. Astraodoric
- 22 acids A–D: new nanostane triterpenes from edible mushroom Astreus odoratus and their
- anti-*mycobacterium tuberculosis* H₃₇Ra and cytotoxic activity. J Agric Food Chem. 2012;
- 60: **9834**–**9841**.
- [19] Zou J, Dai J. Chemical constituents in marine fungus of *Cladosporium cladoporioides*. Chinese Pharm J. 2009; 44: 418–421.
- 27 [20] Komoto N, Ikegami S, Tamura S. Isolation of acidic growth inhibitors in dwarf peas.
- 28 Agric Biol Chem. 1972; 36: 2547–2553.

Figure legends

Figure 1. Structures of compounds 1–10

Figure 2. COSY and HMBC correlations of 1.

Figure 3. Growth regulating activity against lettuce of compounds 1 to 10 against root (a) or hypocotyl (b). 2,4-Dichlorophenoxyacetic acid (2,4-D) was used as positive control. Results are the mean \pm standard deviation (n = 9). [*p < 0.05, **p < 0.01 (growth inhibition); *p < 0.05, **p < 0.01 (growth promotion)].

Figure 4. Effect of 1 to 10 on expressions of Axl and immune checkpoints (PD-L1 and PD-L2) on lung cancer cell line A549 cells. Values indicate means with standard deviation from three independent triplicate experiments. Statistical analysis was performed using Fisher's test (*p < 0.05, **p < 0.01 vs control, n = 3).



Fig. 1 Malya et al



Fig. 2 Malya et al



