

Ethanol fermentation by saprotrophic white-rot fungus *Phanerochaete sordida* YK-624 during wood decay as a system for short-term resistance to hypoxic conditions

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Title:

Ethanol fermentation by saprotrophic white-rot fungus *Phanerochaete sordida* YK-624 during wood decay as a system for short-term resistance to hypoxic conditions

Short-title

Function of ethanol fermentation by *P. sordida*

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Keywords

ethanol fermentation, hypoxic tolerance, *Phanerochaete sordida*, white-rot fungi, wood decay

1 **ABSTRACT**

2 In this study, major factors involved in regulating ethanol production from wood by the saprotrophic
3 white-rot fungus *Phanerochaete sordida* YK-624 were investigated. *P. sordida* YK-624 produced
4 ethanol from wood meal culture without the addition of any nutrients, and ethanol was produced from
5 wood culture only when the oxygen concentration in headspace was reduced to $\leq 5\%$; thereafter, ethanol
6 production ceased within a few days. Analyses of gene expression during aerobic incubation indicated
7 that *P. sordida* simultaneously upregulates the glycolytic pathway from sugar uptake to pyruvate
8 conversion during ethanol fermentation and suppresses pyruvate influx into the TCA cycle. Upon
9 termination of ethanol fermentation, the expression of all tested genes was repressed, and the fungus
10 ceased to grow. In contrast, the fungus could utilize ethanol for aerobic growth. These results suggest
11 that ethanol fermentation by *P. sordida* functions as a short-term stress response system under anaerobic
12 conditions during wood decay, enabling the fungus to rapidly resume growing when oxygen is supplied
13 (e.g., following breakdown of plant cell walls or removal of the fungus from water immersion). This is
14 the first report to describe the physiologic significance of ethanol fermentation in saprotrophic white-rot
15 fungi.

16 INTRODUCTION

17 White-rot fungi possess the ability to decompose lignocellulosic materials such as plants and
18 degrade all major plant components. Ligninolytic activity, a characteristic unique to white-rot fungi,
19 refers to the enzymatic degradation of lignin, a major plant component. Lignin, an aromatic polymer that
20 is recalcitrant to biological and chemical degradation, protects the plant structures against various
21 environmental stresses. Degradation of lignin via the activity of white-rot fungi improves the access of
22 cellulolytic enzymes to cellulose fibers (1, 2). Therefore, despite consumption of polysaccharides during
23 treatment with white-rot fungi, the application of white-rot fungi for delignification pretreatment in
24 lignocellulosic biorefinery processes is anticipated.

25 A number of other studies have reported that white-rot fungi can ferment ethanol directly from
26 lignocellulosic materials (3-7). In these studies, white-rot fungi were found to produce ethanol from
27 lignocellulosic materials supplemented with a nutrient medium under oxygen-limiting conditions and
28 without any additional supplements, such as cellulases or yeast. A number of previous studies also
29 reported ethanol production by several white-rot fungi of differing taxa, such as *Ceriporia* (Irpicaceae),
30 *Peniophora* (Peniophoraceae), *Phlebia* (Meruliaceae), *Punctularia* (Corticaceae), and *Schizophyllum*
31 (Schizophyllaceae), in addition to *Phanerochaete* (Phanerochaeteceae) and *Trametes* (Polyporaceae).
32 Two studies showed that a majority of white-rot fungi can produce ethanol from glucose, but only few
33 strains could convert lignocellulosic materials into ethanol (5, 7). Phlebioid fungi, particularly *Phlebia*
34 sp. MG-60 and *Phlebia radiata*, can efficiently produce ethanol from lignocellulosic materials (7, 8).
35 However, no studies have discussed the significance of ethanol fermentation by white-rot fungi with

36 regard to their lifecycle or how fermentation contributes to wood decay. Therefore, in the present study,
37 we investigated the major factors involved in regulating ethanol fermentation by a typical saprotrophic
38 white-rot fungus, *Phanerochaete sordida* YK-624, which exhibits superior ligninolytic activity (9). In
39 addition, we attempted to elucidate the biological significance of ethanol fermentation by *P. sordida*
40 YK-624.

41

42 **MATERIALS AND METHODS**

43 *Evaluation of ethanol production in liquid medium and wood culture*

44 *Phanerochaete sordida* YK-624 (ATCC 90872) was grown on PDA medium at 30°C. Three
45 mycelial discs (1.0 cm diameter) were placed in vials containing beech wood meal culture (0.5 g of dry
46 wood meal [80-100 mesh] and 1.25 mL of water). The culture vials were incubated for 20 days under
47 aerobic conditions at 30°C to allow for delignification. After aerobic cultivation, the culture vials were
48 sealed to create semi-aerobic conditions. Before sealing, the vials were supplemented with 5 mL of
49 glucose-free basal liquid medium (gf-BLM; 10 g/L yeast extract, 10 g/L KH₂PO₄, 2 g/L (NH₄)₂SO₄, and
50 0.5 g/L MgSO₄·7H₂O [pH 4.5]) (3). For nutrient supplementation-free conditions, the PDA pellets were
51 removed from the wood culture vials before initiating semi-aerobic cultivation to exclude effect of the
52 PDA medium. After 10 days of semi-aerobic cultivation, the culture broth was recovered from the vials
53 containing gf-BLM and centrifuged (16,800 × g, 4°C, 10 min). For un-supplemented cultures, 3.75 mL
54 of 20 mM sodium phosphate (pH 6.8) was added, and the fungi were homogenized (on ice) using a
55 Polytron homogenizer (PT1200E, Kinematica AG). The homogenate was then centrifuged (16,800 × g,

56 4°C, 10 min) and the supernatant collected. The supernatants obtained from both vials were filtered
57 through a membrane filter (0.2 µm pore size) and adjusted to 0.5 mM H₂SO₄, after which the samples
58 were analyzed by high-performance liquid chromatography (HPLC). HPLC analyses were performed
59 using a JASCO PU-2089 pump equipped with a JASCO RI-2031 detector fitted with a Shodex SH 1821
60 column (8.0 mm × 300 mm, Showa Denko K.K., Japan) maintained at 75°C. The eluent was 0.5 mM
61 H₂SO₄ at a flow rate of 0.6 mL/min, and the concentration of ethanol was measured using a refractive
62 index detector, as reported previously (10).

63 Aerobic wood culture (for 5, 20, or 40 days) of *P. sordida* YK-624 was performed as described
64 above. The mycelial discs were removed from the wood culture vials, and the vials were sealed. The
65 concentration of oxygen in the headspace was analyzed every 4 days via gas chromatography with a
66 thermal conductivity detector (GC-TCD; GL Science GC-3200) equipped with a packed column
67 (Molecular Sieve 5A 30/60, 3 m × 1.6 mm outer diameter), as described in a previous study (11). The
68 operational injector, detector, and column oven temperatures were 80, 80, and 50°C, respectively. Argon
69 was used as the carrier gas at a flow rate of 35 mL/min. After incubation, 3.75 mL of 20 mM sodium
70 phosphate (pH 6.8) was added to each vial, and the cultures were homogenized. The resulting
71 homogenate was centrifuged (16,800 × g, 4°C, 10 min), and the supernatant was filtered through a
72 membrane filter. For simultaneous analysis of glucose and ethanol concentration, HPLC analysis fitted
73 Shodex KS-801 column were performed (eluent: Milli-Q water, flow rate: 1.0 mL/min, column temp.
74 80°C).

75 To evaluate lignin degradation, wood meal cultures were dried overnight at 105°C after aerobic

76 incubation, and the weight loss and lignin content (sum of acid-soluble and -insoluble lignin) were
77 determined (9).

78 To investigate the relationship between ethanol fermentation and oxygen/glucose concentrations,
79 two mycelial discs were inoculated into 10 mL of gf-BLM and incubated for 3 days at 30°C under
80 ambient atmospheric (aerobic) conditions. The mycelial mats were recovered and the discs discarded.
81 After washing with distilled water, the mycelial mats were homogenized with 10 mL of distilled water,
82 and 200 µL of mycelial homogenate (1.5 mg dry weight) was added to a vial containing 5 mL of fresh
83 gf-BLM. This process ensured the elimination of any pre-cultivation medium. After 5 days of aerobic
84 incubation, the vials were tightly sealed with butyl rubber plugs. The headspace gas of the cultures was
85 replaced with a mixture of oxygen and nitrogen (1.5%, 5%, 7.5%, 12%, or 21% oxygen gas in nitrogen).
86 After an additional 24 h of incubation, 100 µL of glucose solution was added to the vials using a micro
87 syringe to adjust the final concentration to 0.05, 0.1, 0.3, 0.6, or 1.0 g/L. After 24 h, the oxygen
88 concentration in the headspace was determined using a GC-TCD, whereas ethanol production and
89 residual glucose in the culture medium were measured using HPLC.

90

91 *Transcriptional analysis*

92 *Phanerochaete sordida* YK-624 was cultivated on wood medium for 0, 4, and 10 days under semi-
93 aerobic conditions following 5 days of aerobic culture. The wood culture was mixed uniformly, and 200
94 mg of wet wood culture was collected and stored at -80°C until further use. Total RNA was extracted
95 from the culture sample by bead beating in 1.0 mL of Plant RNA Purification Reagent (Invitrogen) and

96 then purified using an RNeasy Mini kit (Qiagen). cDNA was synthesized from 100 ng of total RNA by
97 reverse transcription (RT) using a PrimeScript RT-PCR kit (TaKaRa). Quantitative PCR (qPCR) was
98 performed on a LightCycler Nano system (Roche) using SYBR Premix ExTaq II (TaKaRa). Gene-
99 specific primers for actin (LC377777, *act*; 5'-ccctcaagcatgaaggtcaag-3'/5'-tagaagcacttgccggtggac-3'),
100 hexose transporter (LC438459, *hxt*; 5'-ttctcatctggggcagac-3'/5'-gcgtcgagttctgtagagg-3'),
101 glyceraldehyde-3-phosphate dehydrogenase (AB285023, *gpd*; 5'-gcaggaatcaacggttcgg-3'/5'-
102 tcgatgaaggggtcgttgac-3'), pyruvate dehydrogenase E3 subunit (LC377778, *pdhE3*; 5'-
103 gtctcaagaccgctgcattg-3'/5'-gacgccctcgactcgatac-3'), pyruvate dehydrogenase kinase (LC377779,
104 *pdhk*; 5'-gaaacgaagctgcaaagcca-3'/5'-gagagccacatggtgaccga-3'), pyruvate decarboxylase (LC057149,
105 *pdh*; 5'-ggtcacggaaatcttggcg-3'/5'-cgttcagctcgttgacgtt-3'), and manganese peroxidase (AB585997,
106 *mnp*; 5'-cgacccttaccactgatcc-3'/5'-gctagctgttgactaagcgaag-3') were designed previously (12-15).
107 Primers for β -glucosidase (*bgl*; 5'-attcgcaacatcactgggt-3'/5'-cgcaacatagggatgggtgt-3'), endoglucanase
108 (*egl*; 5'-gtccccgacatctacaacgg-3'/5'-tagggagaggcgagcgatat-3'), and exoglucanase (*exg*; 5'-
109 gatcgggcaggtcgtagatg-3'/5'-actttcacgtggctggactc-3') were designed from the sequences LC557126–
110 LC557128 obtained from total RNA extracted from a wood culture of *P. sordida* YK-624. These DNA
111 sequences were determined by thermal asymmetric interlaced (TAIL)–PCR using degenerate primers
112 (16, 17), then cDNA sequences were determined. Fold-change in gene expression was calculated by
113 determining the difference between the Cq values for the expression of each gene after 4 or 10 days of
114 semi-aerobic cultivation and on day 0. Relative expression was calculated according to the $\Delta\Delta Cq$
115 method using *gpd* as the reference gene. Because *P. sordida* YK-624 expresses several alcohol

116 dehydrogenase (ADH)-like genes during wood decay (18), it is not clear which ones are mainly involved
117 in ethanol fermentation. Therefore, ADHs were not used for transcriptional analysis in the present study.

118

119 *Viability under semi-aerobic conditions*

120 After 5 days of aerobic culture, decayed wood meal was collected from the 0-, 5-, 10-, and 15-
121 day-old semi-aerobic wood cultures of *P. sordida* YK-624 and inoculated into PDA medium. Mycelial
122 growth was then measured for 2 weeks.

123

124 *Ethanol utilization test*

125 Mycelial homogenate was prepared using BLM (gf-BLM supplemented with 2% glucose) as
126 described above and then inoculated into 5 mL of fresh gf-BLM. The medium was incubated at 30°C
127 for 5 days under aerobic conditions and then divided into three groups: aerobic, semi-aerobic, and
128 anaerobic. For the aerobic group, ethanol was added to the culture and incubated under the same aerobic
129 conditions. For the semi-aerobic group, ethanol was added to the culture, and the vial was tightly sealed.
130 To create anaerobic conditions, the culture vial was sealed and the headspace was filled with nitrogen
131 gas. Ethanol was then added to the culture using a syringe. The ethanol concentration was adjusted
132 0.25% for all cultures. All culture groups were incubated for 2, 5, and 7 days at 30°C under static
133 conditions. After incubation, the mycelial mat was separated from the fluid by filtration, dried in an oven,
134 and weighed. The residual volume of ethanol in the filtrate and oxygen in the headspace were measured
135 using HPLC and GC-TCD, respectively. An aerobic culture without ethanol supplementation served as

136 the control.

137

138 *Statistical analysis*

139 The Student's *t*-test was used to assess the significance of differences between two samples. For
140 multiple comparisons, one-way analysis of variance (ANOVA) was carried out to determine the
141 significance of differences. Statistical analyses were performed using Tukey's method. Differences
142 between mean values considered statistically significant at a confidence level of 5% ($P < 0.05$).

143

144 **RESULTS**

145 *Fermentation of ethanol from wood by P. sordida YK-624*

146 Aerobic pre-incubation on wood was carried out for a period of 5, 20, and 40 days, followed by
147 semi-aerobic incubation of sealed cultures for 10 days. Semi-aerobic incubation was carried out in
148 nutrient-free medium or supplemented gf-BLM. As shown in Table 1, *P. sordida* YK-624 produced
149 ethanol from wood regardless of nutrient supplementation in cultures pre-incubated for 20 or 40 days.
150 However, only 4.2 mg of ethanol was produced from 1 g of wood in the 5-day pre-incubated culture,
151 which showed the highest ethanol production of the three pre-incubation periods. The concentration of
152 oxygen remaining after semi-aerobic incubation was $< 2\%$ in all cultures.

153 Next, the time (in days) required for ethanol production and oxygen consumption during semi-
154 aerobic incubation of the cultures pre-incubated aerobically for 5 days and 20 days (designated as 5A
155 and 20A, respectively) was investigated (Fig. 1A and B). During semi-aerobic incubation of culture 5A,

156 oxygen consumption was high, with the concentration falling from 3% on day 2 to <1% on day 4. In
157 contrast, the rate of oxygen consumption of culture 20A during semi-aerobic incubation was lower than
158 that of culture 5A, as the oxygen concentration decreased to <5% after 4 days, and the conditions became
159 anaerobic after 8 days of semi-aerobic incubation. Ethanol production in culture 5A was 0.6 mg/g of
160 wood after 2 days of semi-aerobic incubation, whereas culture 20A required 8 days of semi-aerobic
161 incubation to produce measurable ethanol. Ethanol production continued in both cultures for a short
162 period (4 days or less) before ceasing. Free glucose was detected in both wood cultures throughout the
163 semi-aerobic incubation period. In both cultures, the glucose concentration remained at approximately
164 1–2 mg/g of wood until all of the oxygen was consumed or the fermentation process stopped, after which
165 the glucose concentration increased. This result indicates that the saccharification enzymes were still
166 active after termination of fermentation while cellular activities such as sugar uptake and fermentation
167 were suspended. The rate of increase in glucose concentration in culture 20A was higher than that in
168 culture 5A, suggesting that cellulolytic activity was higher in culture 20A.

169 A flock of mycelia was collected from culture 5A every 5 days during the semi-aerobic incubation
170 period and inoculated onto PDA medium. Mycelia collected between days 0 and 5 of the semi-aerobic
171 cultures were able to regrow on PDA, whereas mycelia collected between days 10 and 15 of the semi-
172 aerobic cultures exhibited no re-growth (Fig. S1).

173

174 *Gene expression analysis*

175 All genes examined in this study were expressed in wood cultures of *P. sordida* YK-624 under

176 aerobic conditions. The expression of genes related to wood decay, respiration, and fermentation in *P.*
177 *sordida* YK-624 wood cultures incubated for 5 days under aerobic conditions followed by 0, 4, and 10
178 days of incubation under semi-aerobic conditions (designated 5A0S, 5A4S, and 5A10S, respectively)
179 was analyzed using qPCR. The change in Cq value for each gene with respect to the Cq value on day 0
180 is shown in Figure 2A. In the day 4 semi-aerobic culture (5A4S), the expression levels of *hxt*, *pdc*, and
181 *pdhk* were significantly increased, whereas the expression levels of *act*, *bgl*, *exg*, *mnp*, and *pdhE3*
182 decreased. No significant differences were observed in the expression levels of *gpd* and *egl* between
183 cultures 5A0S and 5A4S. In contrast, the expression of all tested genes was significantly lower in culture
184 5A10S as compared with culture 5A0S. The change in the Cq value of *gpd* between cultures 5A0S and
185 5A4S was <1.0; therefore, the relative expression of the remaining genes was calculated using *gpd* as
186 the reference gene, as shown in Figure 2B. The relative expression of *hxt* and *pdc* was >5-fold higher in
187 culture 5A4S than culture 5A0S, whereas *pdhk* exhibited 2-fold higher relative expression in 5A4S
188 compared with 5A0S. Figure 2B illustrates the dramatic decrease in the expression levels of other genes
189 during the 4-day semi-aerobic incubation period.

190

191 *Requirement of hypoxic conditions for ethanol fermentation*

192 The relationship between ethanol fermentation and concentrations of glucose and oxygen in *P.*
193 *sordida* YK-624 cultures was investigated using BLM. After mycelia reached confluence in gf-BLM,
194 the headspace gas of the cultures was replaced with varying concentrations of oxygen. Following an
195 additional 24 h of incubation, glucose solution was added to the cultures, and glucose consumption and

196 ethanol production were analyzed over a 24-h period. Although glucose consumption tended to be lower
197 under conditions of lower headspace oxygen concentration (Fig. 3A), all of the supplemented glucose
198 was consumed within 24 h, regardless of oxygen concentration in the headspace, in the case of lower
199 glucose concentrations (<0.5 g/L). Figure 3B and C shows that the amount and efficiency (yield) of
200 ethanol production increased with decreasing headspace oxygen concentration. In cultures supplemented
201 with a high concentration of glucose (0.5 or 1.0 g/L), ethanol production was observed even under
202 aerobic conditions, and ethanol production in cultures supplemented with a low concentration of glucose
203 (0.05–0.3 g/L) was only observed when the oxygen concentration was $\leq 5\%$. The efficiency of ethanol
204 production increased with decreasing oxygen concentration across all tested concentrations of glucose,
205 and the yields clearly increased when the oxygen concentration was $\leq 5\%$. Glucose concentration did not
206 have a clearly discernable effect on the efficiency of ethanol production.

207

208 *Ethanol utilization test*

209 The ability of *P. sordida* YK-624 to use ethanol for growth under conditions of nutrient depletion
210 was investigated at different oxygen concentrations (Fig. 4A). Figure 4B shows ethanol and oxygen
211 consumption by *P. sordida* YK-624. The dry weight of mycelia of *P. sordida* YK-624 aerobically
212 incubated in gf-BLM increased over the course of 5 days and thereafter gradually decreased. Therefore,
213 we concluded that the nutrients in gf-BLM were depleted after 5 days of incubation. In the case of
214 ethanol supplementation of gf-BLM cultures on the fifth day followed by aerobic incubation, however
215 some part of ethanol probably volatilized, mycelial weight increased over time and then decreased after

216 the ethanol was consumed. Semi-aerobic incubation following ethanol supplementation also resulted in
217 an incremental increase in mycelial weight, but mycelial growth stagnated after oxygen declined to $\leq 5\%$.
218 In addition, the rate of ethanol consumption in semi-aerobic cultures slowed as the oxygen concentration
219 declined. During anaerobic incubation following ethanol supplementation, no consumption of ethanol
220 or oxygen was observed, and mycelial weight was maintained. These results suggest that *P. sordida* YK-
221 624 is able to utilize ethanol for growth under aerobic conditions.

222

223 **DISCUSSION**

224 Other studies reported that *Phlebia* sp. MG-60 and *Phlebia radiata* produced >300 mg/g and 100
225 mg/g of ethanol from oak wood and waste core board, respectively (7, 8). These special ethanol-
226 producing fungi are also known as excellent lignin degraders (19, 20). As *Phlebia* sp. MG-60 was
227 isolated from driftwood in mangrove stands (20), it is thought that this marine fungus can survive on
228 wood immersed in sea water. Although *Phlebia radiata* is generally considered a saprotroph, the fungus
229 is often isolated from areas of heart rot in wood (21). Based on these observations, it is presumed that
230 these fungi can tolerate hypoxia, because they can live under low-oxygen conditions. In contrast,
231 *Phanerochaete* spp. are saprotrophic fungi, and *P. sordida* YK-624 in particular exhibits excellent
232 ligninolytic activity and selectivity under aerobic conditions (9). In the present study, *P. sordida* YK-
233 624 exhibited evident ethanol production from wood alone (without the addition of nutrients), but
234 compared with the productivity of *Phlebia* fungi described above, ethanol production by *P. sordida* YK-
235 624 from woody biomass was quite low, regardless of nutrient supplementation (Table 1). We

236 hypothesized that this lower productivity level is not sufficient to generate the energy needed for survival
237 under hypoxic conditions. Therefore, we attempted to elucidate the biological significance of ethanol
238 production in *P. sordida* YK-624.

239 No ethanol was detected in culture 5A0S, which was grown under aerobic conditions, but ethanol
240 production was clearly observed in culture 5A4S, which reached a nearly anaerobic state, and ethanol
241 production ceased on 8 day or later in semi-aerobic cultivation (Fig. 1). However, it is difficult to
242 investigate the status of a fungus based on residual enzymatic activities, because wood-degrading
243 enzymes can retain activity for a long period of time, similar to the glucose production shown in Figure
244 1A and B. Additionally, quantification of cytosolic NAD(P)H/NAD(P)⁺ and ATP/ADP for estimation
245 of cellular redox and energy status of the fungus on wood culture is quite difficult, because the methods
246 for exclusion of negative effect on analysis of various contaminants produced during wood decay have
247 not been established and amount of mycelia on decay wood is probably too low. Therefore, a change in
248 fungal metabolic status was suspected based on the relative gene expression levels during aerobic
249 respiration (culture 5A0S), ethanol fermentation (culture 5A4S), and post-fermentation stagnation
250 (culture 5A10S). In culture 5A4S, increased expression of *hxt*, *pdc*, and *pdhk* and decreased expression
251 of the other genes examined was observed when compared with aerobic cultures (Fig. 2). The function
252 of the *P. sordida* YK-624 genes *hxt*, which mediates the uptake of monosaccharides, and *pdc*, which is
253 involved in ethanol production, have been reported (10, 15). It is well known that PDH is a key enzyme
254 at the pyruvate branch point, catalyzing the conversion of pyruvate to acetyl-CoA and carbon dioxide,
255 with PDHK inactivating PDH via dephosphorylation (22). Therefore, lower *pdhE3* and higher *pdc* and

256 *pdhk* expression indicate the response of the fungus to low-oxygen conditions, which causes a change
257 in metabolism from aerobic respiration to anaerobic fermentation. Repression of *mnp* and other
258 cellulolytic enzyme genes during the ethanol fermentation period suggests that *P. sordida* YK-624
259 ceases active wood decay and utilizes the monosaccharides provided by previous enzyme activity. In
260 contrast, repression of *act* in culture 5A4S and repression of all of the tested genes in culture 5A10S
261 indicate that hypoxic conditions induce fungal cell death. In the viability test, mycelia collected from
262 cultures in which ethanol production had terminated showed no viability, whereas mycelia collected
263 during ethanol fermentation regrew under aerobic conditions. These results suggest that *P. sordida* YK-
264 624 carries out ethanol fermentation in order to survive for a few days under hypoxic conditions and that
265 it can regrow if wood decay progresses and breaks down the plant cell walls to create aerobic conditions.
266 Hence, ethanol fermentation by *P. sordida* YK-624 could be a stress response to anaerobic or low-
267 oxygen conditions. Schaffer (23) studied the growth and survival of wood-rot fungi under low-oxygen
268 conditions. In that study, heart rot fungi tolerated low-oxygen conditions and survived for longer periods
269 than saprotrophs. The duration of survival of wood-rot fungi under low-oxygen conditions varied, with
270 some species dying in less than 1 week. Therefore, the sensitivity of *P. sordida* YK-624 to low-oxygen
271 conditions is likely a typical response of saprotrophic fungi.

272 The amount of ethanol produced by *P. sordida* YK-624 was affected by the concentrations of both
273 glucose and oxygen, whereas the efficiency of ethanol production appeared to be affected by oxygen
274 concentration alone (Fig. 3). Under anaerobic conditions (<5% oxygen), the amount of ethanol produced
275 and the efficiency of ethanol production (yield) increased significantly with a reduction in oxygen

276 concentration. These results suggest that the fungus responds to low levels of oxygen ($\leq 5\%$) via a change
277 in metabolism from respiration to fermentation. This hypothesis is supported by data regarding ethanol
278 production and gene expression in wood cultures when the oxygen concentration was decreased to $< 5\%$.
279 It is well known that oxygen diffusion from the surface of wood is very limited. Covey et al. (24) reported
280 that the internal oxygen concentration in dead wood from forests is so low as to be nearly anaerobic,
281 regardless of the state of decay. In addition, van Keulen et al. (25) reported that even a thin layer of water
282 (1–2 mm) can easily create hypoxic conditions. Finally, *P. sordida* YK-624 utilized ethanol for growth
283 under oxic conditions, whereas it did not consume ethanol under anaerobic conditions (Fig. 4). There
284 are several fungi utilizing ethanol as a carbon source. For example, Srivastava et al. (26) reported
285 isolation of ethanol-utilizing fungi and they found that ADH and acetaldehyde dehydrogenases (ALD)
286 play crucial role in the utilization of ethanol by fungus. It is also known *Saccharomyces cerevisiae* has
287 a potential of ethanol utilization. In yeast cells, ethanol is metabolized to acetaldehyde by ADHs.
288 Acetaldehyde is oxidized to acetic acid by ALDs and then acetate is conjugated to coenzyme-A by
289 acetyl-CoA synthetases. Acetyl-CoA plays important roles in various metabolic pathway including
290 energy production in TCA cycle (27).

291 The results of the present study suggest that *P. sordida* YK-624 initiates ethanol fermentation
292 from free saccharides when hyphae are placed under oxygen-limiting conditions and that the fungus
293 suspends respiratory metabolism to enhance tolerance to hypoxic stress. For example, when hyphae
294 invade the inner region of a piece of dead wood or when the hyphae are immersed in water, the fungus
295 consumes oxygen by respiration until conditions are hypoxic and then initiates ethanol fermentation.

296 Although *P. sordida* YK-624 can tolerate hypoxic stress without respiration for several days, eventually
297 the fungus will die under these conditions. However, if wood decay progresses from aerobic regions and
298 leads to the breakdown of the cell walls of the wood, resulting in replenishment, or alternatively, if the
299 hyphae are no longer immersed in water, the fungus probably starts to utilize ethanol for re-growth. It is
300 speculated that *P. sordida* YK-624 has evolved to specialize in aerobic sapwood decay, particularly with
301 regard to its ligninolytic properties, instead of developing sufficient tolerance for the hypoxic conditions
302 that would probably be needed for heart wood decay, as with *Phlebia*. This is thus the first report to
303 describe the physiological significance of ethanol fermentation by a saprotrophic white-rot fungus.
304 However, cellular energy and redox status during ethanol fermentation and termination period are still
305 not clear. In the future work, it is necessary to develop a method to investigate the cellular redox and
306 energy status under different oxygen condition during wood decay, in order to better understand the
307 physiological significance of ethanol fermentation of this saprotrophic white-rot fungus.

308

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312

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391 **Figure Legends**

392 **Fig. 1** Time courses of ethanol production, oxygen consumption, and change in glucose concentration
393 during semi-aerobic incubation following 5 days (A) and 20 days (B) of aerobic incubation on wood
394 culture inoculated with *P. sordida* YK-624. Black circles and gray rhombi indicate ethanol and
395 glucose concentration in the wood culture (mg/g of wood), respectively. Oxygen concentration in the
396 headspace is represented by white squares. Values are mean \pm standard deviation of triplicate cultures.

397 **Fig. 2** Fold-change in the expression of various genes during semi-aerobic incubation. (A) Difference in
398 the Cq value of each gene between wood cultures at the start of semi-aerobic incubation (day 0) and
399 day 4/10. Values are mean \pm standard deviation of triplicate cultures. Asterisks indicate significant
400 differences compared with day 0 ($P < 0.05$). (B) Relative gene expression of day 4 cultures compared
401 with day 0 cultures. Relative expression was calculated according to the $\Delta\Delta Cq$ method using *gpd* as
402 the reference gene.

403 **Fig. 3** Glucose remaining (A), ethanol concentration (B), and ethanol yield (C) of *P. sordida* YK-624 in
404 the presence of varying concentrations of oxygen and glucose. Each sample was analyzed after 24 h
405 of incubation with glucose supplementation. Values are mean \pm standard deviation of triplicate
406 cultures. Open circles, triangles, rhombi, square, and closed circles are indicated the analyzed values
407 of 0.05, 0.1, 0.3, 0.6 and 1.0 g/L glucose supplemented cultures, respectively.

408 **Fig. 4** Mycelial growth and ethanol consumption by *P. sordida* YK-624 after ethanol supplementation
409 of gf-BLM cultures. A) Effect of ethanol supplementation on mycelial growth. Open squares show
410 change in dry mycelial weight of *P. sordida* YK-624 under aerobic culture without ethanol

411 supplementation (control). Closed circles, rhombi, and triangles indicate change in the dry weight on
412 ethanol-supplemented aerobic, semi-aerobic, and anaerobic cultures, respectively. Five-day old
413 aerobic cultures were supplemented with ethanol. B) Time courses of ethanol concentration (closed
414 symbols) and headspace oxygen concentration (open symbols) in ethanol-supplemented cultures.
415 Circles, rhombi, and triangles indicate aerobic, semi-aerobic, and anaerobic cultures, respectively.
416 Values are mean \pm standard deviation of triplicate cultures.

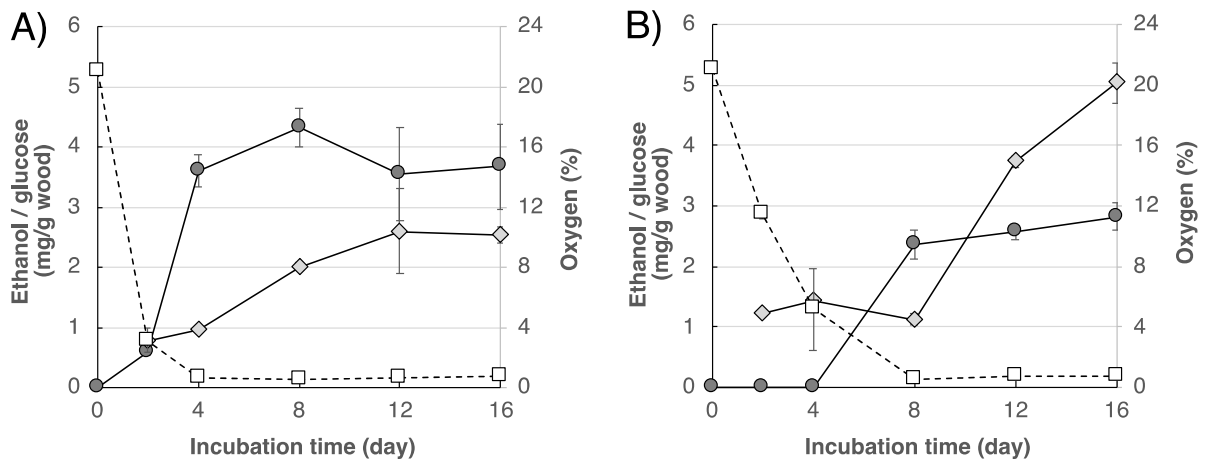


Fig. 1

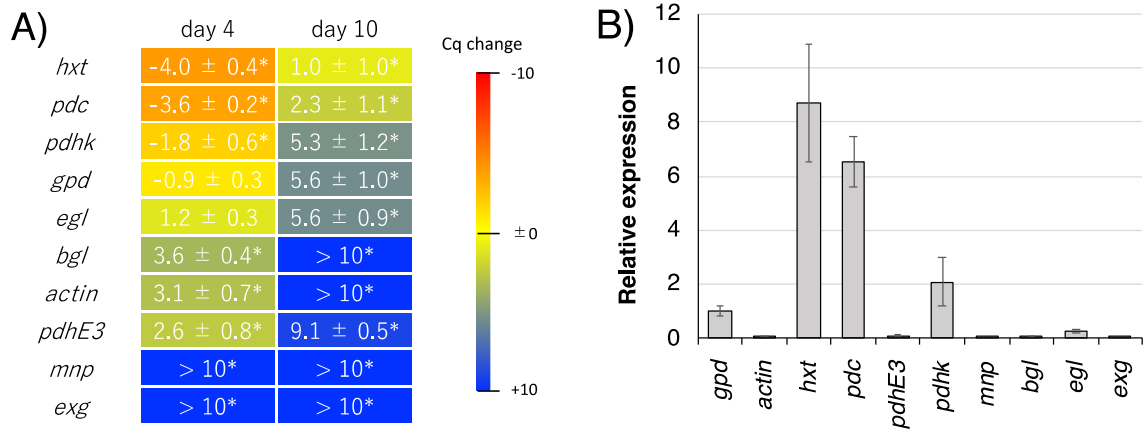


Fig. 2

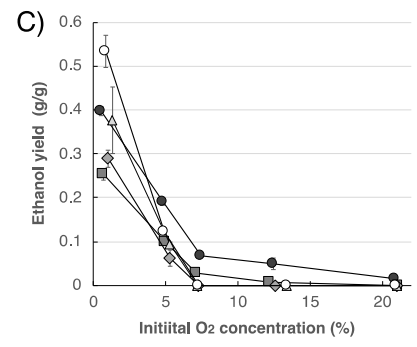
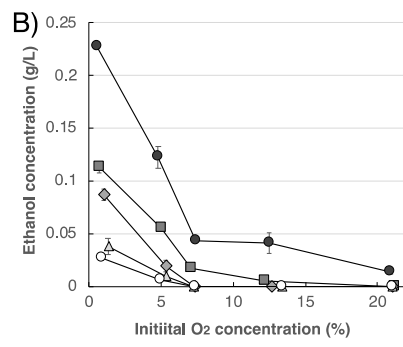
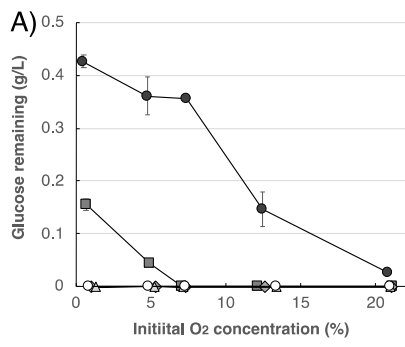


Fig. 3

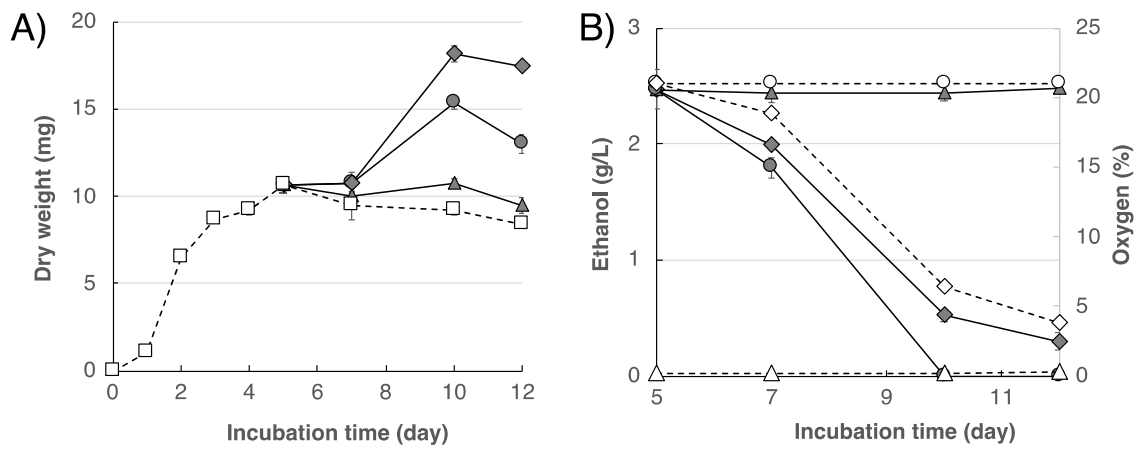


Fig. 4

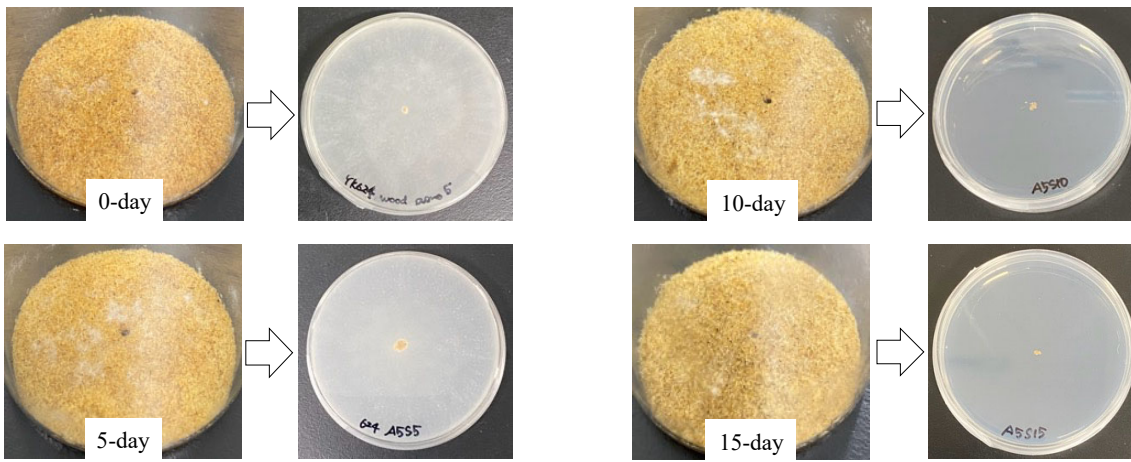


Fig. S1 Photographs of 0, 5, 10 and 15 day-old semi-aerobic wood cultures of *P. sordida* YK-624 and PDA cultures (3 days) inoculated each semi-aerobic cultures.

Table 1. Effect of aerobic pre-incubation duration and nutrient supplementation on ethanol production from wood culture by *P. sordida* YK-624.

pre-incubation (day)	weight loss (%) ^a	lignin degradation (%) ^a	ethanol production (mg/g wood) ^{bc}	oxygen remaining (%) ^b
without nutrient addition				
5	6.9 ± 1.1	15.9 ± 1.6	4.2 ± 0.6	0.4 ± 0.1
20	16.3 ± 0.4	24.6 ± 1.5	2.7 ± 0.3	1.7 ± 0.6
40	N.D.	N.D.	1.8 ± 0.1	0.4 ± 0.1
gf-BLM supplemented condition				
20			3.4 ± 0.3*	0.7 ± 0.1
40			4.3 ± 0.1*	0.3 ± 0.1

^a These data were from wood cultures immediately after pre-incubation. N.D. means "not determined".

^b These data were determined after 10-day semi-aerobic incubation.

^c Asterisk indicates the significant difference ($P < 0.05$) between the values measured from the cultures with and without supplementation.