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# A correction to "A non-implication between fragments of Martin's Axiom related to a property which comes from Aronszajn trees"

#### Teruyuki Yorioka

Department of Mathematics, Shizuoka University, Ohya 836, Shizuoka, 422-8529, JAPAN

#### Abstract

In the paper A non-implication between fragments of Martin's Axiom related to a property which comes from Aronszajn trees [1], **Proposition 2.7** is not true. To avoid this error and correct **Proposition 2.7**, the definition of the property  $R_{1,\aleph_1}$  is changed. In [1], all proofs of lemmas and theorems but **Lemma 6.9** are valid about this definition without changing the proofs. We give a new statement and a new proof of **Lemma 6.9**.

In the paper A non-implication between fragments of Martin's Axiom related to a property which comes from Aronszajn trees [1], **Proposition 2.7** is not true. For example, T is an Aronszajn tree,  $t_1$  and  $t_3$  are incomparable node of T in a model N,  $t_2$  is a node of T such that  $t_2 \notin N$  and  $t_1 <_T t_2$ ,  $\sigma := \{t_2, t_3\}$  (which is in a(T)) and I be an uncountable subset of a(T) which forms a  $\Delta$ -system with root  $\{t_1, t_3\}$ . Then  $\sigma \cap N = \{t_1\} \subseteq \{t_1, t_3\}$ , but every element of I is incompatible with  $\sigma$  in a(T).

To avoid this error and correct **Proposition 2.7**, the definition of the property  $R_{1,\aleph_1}$  is changed as follows.

**Theorem 2.6.** A forcing notion  $\mathbb{Q}$  in FSCO has the property  $\mathsf{R}_{1,\aleph_1}$  if for any regular cardinal  $\kappa$  larger than  $\aleph_1$ , countable elementary submodel N of  $H(\kappa)$  which has the set  $\{\mathbb{Q}\}$ ,  $I \in [\mathbb{Q}]^{\aleph_1} \cap N$  and  $\sigma \in \mathbb{Q} \setminus N$ , if I forms a  $\Delta$ -system with root (exactly)  $\sigma \cap N$ , then there exists  $I' \in [I]^{\aleph_1} \cap N$  such that every member of I' is compatible with  $\sigma$  in  $\mathbb{Q}$ .

Similarly, we should also change Proposition 2.8 and Proposition 2.10.2 as follows.

**Proposition 2.8.** The property  $R_{1,\aleph_1}$  is closed under finite support products in the following sense.

If  $\{\mathbb{Q}_{\xi}; \xi \in \Sigma\}$  is a set of forcing notions in FSCO with the property  $R_{1,\aleph_1}$ ,  $\kappa$  is a large enough regular cardinal, N is a countable elementary submodel of

Email addresses: styorio@ipc.shizuoka.ac.jp (Teruyuki Yorioka)

 $H(\kappa)$  which has the set  $\{\{\mathbb{Q}_{\xi}; \xi \in \Sigma\}\}\$ , I is an uncountable subset of the finite support product  $\prod_{\xi \in \Sigma} \mathbb{Q}_{\xi}$  in N,  $\vec{\sigma} \in \prod_{\xi \in \Sigma} \mathbb{Q}_{\xi} \setminus N$ , I forms a  $\Delta$ -system with root (exactly)  $\vec{\sigma} \cap N$ , that is,

- the set  $\{\operatorname{supp}(\vec{\tau}); \tau \in I\}$  forms a  $\Delta$ -system with root (exactly)  $\operatorname{supp}(\vec{\sigma}) \cap N$ , where  $\operatorname{supp}(\vec{\tau}) := \{\xi \in \Sigma; \vec{\tau}(\xi) \neq \emptyset\}$ ,
- for each  $\xi \in \text{supp}(\vec{\sigma}) \cap N$ , the set  $\{\vec{\tau}(\xi); \tau \in I\}$  forms a  $\Delta$ -system with root (exactly)  $\vec{\sigma}(\xi) \cap N$ .

then there exists  $I' \in [I]^{\aleph_1} \cap N$  such that every element of I' is compatible with  $\vec{\sigma}$  in  $\prod_{\xi \in \Sigma} \mathbb{Q}_{\xi}$ .

**Proposition 2.10.2.** Let  $\mathbb{Q}$  be a forcing notion in FSCO with the property  $\mathsf{R}_{1,\aleph_1}$ . Suppose that  $\kappa$  is a regular cardinal larger than  $\aleph_1$ , N is a countable elementary submodel of  $H(\kappa)$  which has the set  $\{\mathbb{Q}\}$ ,  $\langle I_i; i \in n \rangle$  is a finite sequence of members of the set  $[\mathbb{Q}]^{\aleph_1} \cap N$ , and  $\sigma \in \mathbb{Q} \setminus N$  such that the union  $\bigcup_{i \in n} I_i$  forms a  $\Delta$ -system with root (exactly)  $\sigma \cap N$ .

Then there exists  $\langle \tau_i; i \in n \rangle \in \prod_{i \in n} I_i$  such that there exists a common extension of  $\sigma$  and the  $\tau_i$  in  $\mathbb{Q}$ .

The new definition of the property  $R_{1,\aleph_1}$  is less restrictive. All examples in the paper [1] has this property. In [1], all proofs of lemmas and theorems but **Lemma 6.9** are valid about this definition without changing the proofs. For example, in the proof of **Proposition 2.7**, we have only to check for an uncountable subset I of  $a(\mathbb{P})$  in a countable elementary submodel N of  $H(\kappa)$  and  $\sigma \in a(\mathbb{P}) \setminus N$  such that I forms a  $\Delta$ -system with root  $\sigma \cap N$ . The proof of this proposition is completely same to the one in [1]. The proofs of **Theorems 5.3** and **5.4** are adopted for this new definition. Because the property  $R_{1,\aleph_1}$  are applied for uncountable sets which form  $\Delta$ -systems with root exact " $\tau \cap N$ " in the proofs of **Theorems 5.3** and **5.4** in [1]. We apply the new **Proposition 2.10.2** to these  $\Delta$ -systems.

We have to change only the statement and the proof of **Lemma 6.9** as follows.

**Lemma 6.9.** Suppose that  $\mathbb{Q}$  is a forcing notion in FSCO with the property  $R_{1,\aleph_1}$ , I is an uncountable subset of  $\mathbb{Q}$  such that

- I forms a  $\Delta$ -system with root  $\epsilon$ , and
- for every  $\sigma$  and  $\tau$  in I, either  $\max(\sigma \setminus \epsilon) < \min(\tau \setminus \epsilon)$  or  $\max(\tau \setminus \epsilon) < \min(\sigma \setminus \epsilon)$ ,

 $\vec{M} = \langle M_{\alpha}; \alpha \in \omega_1 \rangle$  is a sequence of countable elementary submodels of  $H(\aleph_2)$  such that  $\{\mathbb{Q}, I\} \in M_0$ , and for every  $\alpha \in \omega_1$ ,  $\langle M_{\beta}; \beta \in \alpha \rangle \in M_{\alpha}$ , and  $S \subseteq \omega_1 \setminus \{0\}$  is stationary.

Then  $\mathcal{Q}(\mathbb{Q}, I, \vec{M}, S)$  is (T, S)-preserving.

*Proof.* Let  $\mathbb{Q}$ , I,  $\vec{M}$ , S be as in the assumption of the statement of the lemma, and T,  $\theta$ , N as in the statement of the definition of the (T,S)-preservation, (moreover we suppose  $\vec{M} \in N$ , to calculate levels of conditions in  $\mathbb{Q}$ ) and  $\langle h, f \rangle \in \mathcal{Q}(\mathbb{Q}, I, \vec{M}, S) \cap N$ . Suppose that  $\omega_1 \cap N \notin S$ , because if  $\omega_1 \cap N \in S$ , then the condition  $\langle h \cup \{\langle \omega_1 \cap N, \omega_1 \cap N \rangle\}, f \rangle$  is as desired.

Let

$$\delta := \sup \left\{ F(\omega_1 \cap N) + 1; F \in (^{\omega_1}\omega_1) \cap N \right\}.$$

Since N is countable,  $\delta$  is a countable ordinal. We will show that the condition  $\langle h \cup \{\langle \omega_1 \cap N, \delta \rangle\}, f \rangle$  of  $\mathcal{Q}(\mathbb{Q}, I, \vec{M}, S)$  is our desired one.

By Lemma 6.6 (in the original paper [1]),  $\langle h \cup \{\langle \omega_1 \cap N, \delta \rangle\}, f \rangle$  is  $(N, \mathcal{Q}(\mathbb{Q}, I, \vec{M}, S))$ generic. Suppose that  $x \in T$  of height  $\omega_1 \cap N$  such that for any subset  $A \in N$ of T, if  $x \in A$ , then there is  $y \in A$  such that  $y <_T x$ . Let  $\dot{A} \in N$  be a  $\mathcal{Q}(\mathbb{Q}, I, \vec{M}, S)$ -name for a subset of T. We will show that

$$\langle h \cup \{\langle \omega_1 \cap N, \delta \rangle\}, f \rangle \Vdash_{\mathbb{Q}}$$
 "  $x \notin \dot{A} \text{ or } \exists y \in \dot{A} (y <_T x)$ ".

Let  $\langle h', f' \rangle \leq_{\mathcal{Q}(\mathbb{Q}, I, \vec{M}, S)} \langle h \cup \{ \langle \omega_1 \cap N, \delta \rangle \}, f \rangle$ , and assume that

$$\langle h', f' \rangle \not\Vdash_{\mathbb{O}}$$
 "  $x \not\in \dot{A}$  ".

By strengthening  $\langle h', f' \rangle$  if necessary, we may assume that

$$\langle h', f' \rangle \Vdash_{\mathbb{O}}$$
 " $x \in \dot{A}$ ".

We note that  $\langle h' | N, f' | N \rangle$  is in N (because  $\omega_1 \cap N \in \text{dom}(h')$ ) and for every  $\sigma \in \text{dom}(f') \setminus N$ ,  $\min(\sigma \setminus \epsilon) > \delta$  by the definition of  $\mathcal{Q}(\mathbb{Q}, I, \vec{M}, S)$ . Let

$$L := \{ f'(\sigma); \sigma \in \text{dom}(f') \& f'(\sigma) \in \omega_1 \cap N \},\,$$

which is a finite subset of N, hence is in N. For each  $\alpha \in L$ , let

$$\tau_{\alpha} := \bigcup ((f')^{-1}[\{\alpha\}]).$$

Then  $\langle \tau_{\alpha}; \alpha \in L \rangle$  is a condition of the product  ${}^L\mathbb{Q}$  and for each  $\alpha \in L$ ,  $\tau_{\alpha}$  is an extension of all members of  $(f')^{-1}[\{\alpha\}]$  in  $\mathbb{Q}$ . The sequence  $\langle \tau_{\alpha}; \alpha \in L \rangle$  does not belong to N, however we notice that the sequence  $\langle \tau_{\alpha} \cap N; \alpha \in L \rangle$  belongs to N. We define a function F with the domain

$$\{t \in T; \mathsf{ht}_T(t) > \max(\mathrm{dom}(h' \upharpoonright N))\}$$

such that for each  $t \in T$  of height larger than  $\max(\operatorname{dom}(h' \upharpoonright N))$ ,

 $F(t) := \sup \left\{ \beta \in \omega_1; \text{ there exists } \langle k, g \rangle \in \mathcal{Q}(\mathbb{Q}, I, \vec{M}, S) \text{ such that } \right\}$ 

- $\bullet \min(\mathrm{dom}(k)) = \mathsf{ht}_T(t),$
- $k(\mathsf{ht}_T(t)) = \beta$ ,
- $\langle (h' \upharpoonright N) \cup k, (f' \upharpoonright N) \cup g \rangle$  is a condition of  $\mathcal{Q}(\mathbb{Q}, I, \vec{M}, S)$ ,
- $\langle (h' | N) \cup k, (f' | N) \cup g \rangle \Vdash_{\mathcal{Q}(\mathbb{Q}, I, \vec{M}, S)}$  "  $t \in \dot{A}$  ", and
- for all  $\alpha \in L$ , min  $\left(\bigcup \left(g^{-1}[\{\alpha\}] \setminus \epsilon\right)\right) \ge \beta$

Then F belongs to N. Let

$$B := \{ t \in T; \mathsf{ht}_T(t) > \max(\mathrm{dom}(h' \upharpoonright N)) \& F(t) = \omega_1 \},$$

which is also in N. We define a function F' with the domain

$$[\max(\operatorname{dom}(h' \upharpoonright N)) + 1, \omega_1)$$

such that for a countable ordinal  $\beta$  larger than  $\max(\operatorname{dom}(h' \upharpoonright N))$ ,

$$F'(\beta) := \sup \left\{ F(t) + 1; t \in T \setminus B \& \mathsf{ht}_T(t) \in \left( \max(\mathsf{dom}(h' \upharpoonright N)), \beta \right] \right\}.$$

This F' is a function from  $\omega_1$  into  $\omega_1$  and also in N. Hence  $F'(\omega_1 \cap N) < \delta$  by the definition of  $\delta$ . Since letting  $k = h' \setminus (h' \upharpoonright N)$  and  $g = f' \setminus (f' \upharpoonright N)$ ,  $k(\mathsf{ht}_T(x)) = h'(\omega_1 \cap N) = \delta$ ,  $\langle (h' \upharpoonright N) \cup k, (f' \upharpoonright N) \cup g \rangle \Vdash_{\mathcal{Q}(\mathbb{Q},I,\vec{M},S)}$  "  $x \in A$ " and  $\min\left(\bigcup \left(g^{-1}[\{\alpha\}] \setminus \delta\right)\right) \geq \delta$ ,  $F(x) \geq \delta$  holds. Therefore x have to belong to B. Thus by our assumption, there exists  $y \in B$  such that  $y <_T x$ .

Since  $F(y) = \omega_1$  and both F and y belong to N, there exists an uncountable subset  $\{\langle k_{\xi}, g_{\xi} \rangle ; \xi \in \omega_1 \}$  of  $\mathcal{Q}(\mathbb{Q}, I, \vec{M}, S)$  such that for each  $\xi$  and  $\eta$  in  $\omega_1$  with  $\xi < \eta$ ,

- $\langle (h' \upharpoonright N) \cup k_{\xi}, (f' \upharpoonright N) \cup g_{\xi} \rangle$  is a condition of  $\mathcal{Q}(\mathbb{Q}, I, \vec{M}, S)$ ,
- $\langle (h' \upharpoonright N) \cup k_{\xi}, (f' \upharpoonright N) \cup g_{\xi} \rangle \Vdash_{\mathcal{O}(\mathbb{O}, I, \vec{M}, S)}$  " $y \in \dot{A}$ ",
- for all  $\alpha \in L$ ,

$$\max \left(\tau_{\alpha} \cap N\right) < \min \left(\bigcup \left(g_{\xi}^{-1}[\{\alpha\}] \setminus \epsilon\right)\right)$$
$$< \max \left(\bigcup \left(g_{\xi}^{-1}[\{\alpha\}] \setminus \epsilon\right)\right) < \min \left(\bigcup \left(g_{\eta}^{-1}[\{\alpha\}] \setminus \epsilon\right)\right).$$

For each  $\xi \in \omega_1$  and  $\alpha \in L$ , let

$$\mu_{\xi,\alpha}:=\bigcup\left(\left(f'{\upharpoonright}N\right)^{-1}\left[\{\alpha\}\right]\cup g_{\eta}^{\,-1}[\{\alpha\}]\right).$$

Then for every  $\alpha \in L$ , since

$$\tau_{\alpha} \cap N = \bigcup ((f' \upharpoonright N)^{-1} [\{\alpha\}])$$

(because of the assumption of I), the set  $\{\mu_{\xi,\alpha}; \xi \in \omega_1\}$  forms a  $\Delta$ -system with root  $\tau_{\alpha} \cap N$ . So by the property  $\mathsf{R}_{1,\aleph_1}$  of  ${}^L\mathbb{Q}$  of Proposition 2.8, there exists  $J'' \in [\omega_1]^{\aleph_1} \cap N$  such that every member of the set  $\{\langle \mu_{\xi,\alpha}; \alpha \in L \rangle ; \xi \in J'' \}$  is compatible with  $\langle \tau_{\alpha}; \alpha \in L \rangle$  in  ${}^L\mathbb{Q}$ . Therefore when we take any  $\xi \in J'' \cap N$ , for every  $\alpha \in L$ ,  $\mu_{\xi,\alpha} \cup \tau_{\alpha}$  is an extension of all members of  $(f')^{-1}[\{\alpha\}] \cup g_{\xi}^{-1}[\{\alpha\}]$  in  $\mathbb{Q}$ , so  $\langle h' \cup k_{\xi}, f' \cup g_{\xi} \rangle$  is a common extension of  $\langle h', f' \rangle$  and  $\langle k_{\xi}, g_{\xi} \rangle$  in  $\mathcal{Q}(\mathbb{Q}, I, \vec{M}, S)$ . Moreover it follows that

$$\langle h' \cup k_{\xi}, f' \cup g_{\xi} \rangle \Vdash_{\mathcal{Q}(\mathbb{Q}, I, \vec{M}, S)}$$
 "  $y \in \dot{A}$  ".

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### References

[1] T. Yorioka. A non-implication between fragments of Martin's Axiom related to a property which comes from Aronszajn trees. Ann. Pure Appl. Logic 161 (2010), no. 4, 469–487.